



CHS South

Examinations Policy

Ref: E001

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1. Introduction

- 1.1. The purpose of this exam policy is:
 - to ensure the planning and management of exams is conducted efficiently and in the best interest of candidates;
 - to ensure the operation of an efficient exam system with clear guidelines for all relevant staff.
- 1.2. It is the responsibility of everyone involved in the Centre's exam processes to read, understand and implement this policy.
- 1.3. This exam policy will be reviewed by the Exams Manager in liaison with the Exams Lead on an annual basis.

2. Examination Responsibilities

- 2.1. Head of Centre:
 - 2.1.1. Has overall responsibility for the school as an exam centre.
 - 2.1.2. Advises on appeals and re-marks.
 - 2.1.3. Is responsible for reporting all suspicions or actual incidents of malpractice. (Refer to the JCQ document 'Suspected Malpractice in Examinations and Assessments.')
 - 2.1.4. Ensures that all staff comply with the instructions in the JCQ's 'Instructions for Conducting Examinations 2020-2021' and all other JCQ and Awarding Body Guidelines.
- 2.2. Examinations Manager:
 - 2.2.1. Manages the administration of public and internal examinations.
 - 2.2.2. Compiles a full examination timetable each academic year using information from the various Awarding Bodies
 - 2.2.3. Advises all staff on examination related procedures as set by the various Awarding Bodies.
 - 2.2.4. Communicates regularly with staff concerning imminent deadlines and events.
 - 2.2.5. Ensures that candidates and their parents are informed of, and understand, those aspects of the exam timetable that will affect them.
 - 2.2.6. Ensures that all candidates and their parents are aware of the relevant JCQ Guidelines prior to assessment and examinations.
 - 2.2.7. Consults with teaching staff to ensure that all non-exam assessment is completed on time and in accordance with JCQ guidelines.
 - 2.2.8. Provides and confirms detailed data on estimated entries.
 - 2.2.9. Maintains systems and processes to support the timely entry of candidates for their exams.
 - 2.2.10. Receives, checks and stores all exam papers and completed scripts securely.
 - 2.2.11. Ensures secure dispatch of completed exam scripts to the appropriate Awarding Body.
 - 2.2.12. Arranges for dissemination of exam results and certificates to candidates and forwards, in consultation with the SLT, any appeals/re-mark requests.

- 2.2.13. Identifies and manages exam timetable clashes.
- 2.2.14. Accounts for income and expenditure relating to all exam costs/charges.
- 2.2.15. Ensures that there are a sufficient number of invigilators for all exams, as per JCQ instruction.
- 2.2.16. Ensures that all invigilators (internal/external) are fully trained, as per JCQ requirements.
- 2.2.17. Instructs Curriculum Leaders on how to input assessment marks into Arbor or on to the Awarding Body's secure website by the appropriate deadlines.
- 2.2.18. Works with Curriculum Leaders to submit candidates' Controlled Assessment/NEA marks to Awarding Bodies, tracks dispatch and stores any material required by the appropriate Awarding Bodies correctly and on schedule.

2.3. Curriculum Leaders/ Head of Year:

- 2.3.1. Guidance and pastoral oversight of candidates who are unsure about exam entries or amendments to entries.
- 2.3.2. Accurate completion of NEA mark sheets and declaration sheets.
- 2.3.3. Accurate completion of entry and all other mark sheets and adherence to deadlines as set by the Exams Manager.
- 2.3.4. Liaison with the Access and Achievement department, and completion of the Normal Way of Working mark sheet, to ensure timely application for access arrangements and to meet JCQ deadlines.
- 2.3.5. Involvement in post-results procedures.

2.4. Teachers:

- 2.4.1. To work with their Curriculum Leader to ensure accurate entry of candidates and timely submission of coursework marks.

2.5. SENCO:

- 2.5.1. To deal with the administration of all Access Arrangements, as per the JCQ Regulations.
- 2.5.2. Identification and testing of candidates' requirements for Access Arrangements.
- 2.5.3. Provision of additional support; with spelling, reading, mathematics, dyslexia or essential skills, hearing impairment, English for speakers of other languages, IT equipment. This support is to help candidates achieve their course aims.
- 2.5.4. Makes applications for Access Arrangements and Special Consideration. This is undertaken according to the 'JCQ Access Arrangements and Special Considerations Regulations and Guidance' relating to candidates who are eligible for adjustments in examinations in conjunction with the SENCO. See also, CHS South's *Examinations Access Arrangement & Special Consideration Policy*.

2.6. Lead Invigilator:

- 2.6.1. Must attend all training sessions arranged by the centre.
- 2.6.2. Must check the details (day, date, time, subject, unit/component and tier of entry) on each

question paper packet before it is opened.

- 2.6.3. Collect exam papers and other materials from the Exams Manager, before the start of the exam.
- 2.6.4. Must ensure that each exam room adheres to JCQ regulation.
- 2.6.5. Run the examination room, as per JCQ regulation. This will include managing invigilation staff, ensuring JCQ signs/documentation are in place, managing toilet breaks, reporting malpractice.
- 2.6.6. Collect all exam papers (in exam number order) at the end of the exam and ensure their secure return to the Exams Manager.
- 2.6.7. Ensure security of exam papers at all times.
- 2.6.8. Be the point of contact for all staff in the exam room.

2.7. Invigilators:

- 2.7.1. Must attend all training sessions arranged by the centre.
- 2.7.2. Collect of exam papers and other materials from the Exams Manager/Lead Invigilator before the start of the exam.
- 2.7.3. Maintain the security of the examination at all times, as per JCQ Regulations.
- 2.7.4. Collect exam papers as instructed by the Exams Manager/Lead Invigilator.
- 2.7.5. Ensure security of exam papers at all times.

2.8. Candidates:

- 2.8.1. Make sure that they understand what is expected of them, as per JCQ Regulations.
- 2.8.2. Understand Controlled Assessment regulations and sign a declaration that authenticates the coursework as their own.

3. The Statutory Tests and Qualifications Offered

- 3.1. The statutory tests and qualifications offered at this centre are decided by the Head of Centre.
- 3.2. Decisions on whether a candidate should/should not be entered for an individual subject will be taken in consultation between Curriculum Leaders and the Deputy Head of Year 11.
- 3.3. All candidates will be entitled, and enabled, to achieve an entry for qualifications from an external awarding body, at the end of their course.
- 3.4. The majority of external examinations will be taken at the end of Year 11. Some examinations will be taken at the end of Year 10 for targeted groups of students. Some candidates may be entered early for examinations dependent upon individual circumstances e.g. where early entry is advised to secure candidate attainment.

4. Exam Seasons and Timetables

- 4.1. Internal exam sessions may be scheduled at calendared points throughout the year and,

additionally, at the discretion of the Curriculum Leader/Head of Year.

- 4.2. Internal exams are held under external exam conditions.
- 4.3. The majority of external exams are scheduled in the summer season. Vocational subjects may have external exams in the January or February window.
- 4.4. Once confirmed, the Exams Manager will circulate the exam timetables for internal/external exams.

5. Entries, Entry Details and Late Entries

- 5.1. Candidates are selected for their exam entries by their Subject Teachers.
- 5.2. Candidates, or parents/carers, can request a subject entry, change of level or withdrawal. The Head of Department and Deputy Head will make a decision regarding this and a charge may be incurred.
- 5.3. The Centre does not normally accept entries from external candidates. The decision to accept an external candidate will be made by the Head of Centre.
- 5.4. Entry deadlines are circulated to Curriculum Leaders and must be adhered to.
- 5.5. The centre will pay all normal exam fees on behalf of candidates.
- 5.6. Candidates or departments will not be charged for changes of tier, withdrawals made by the proper procedures or alterations arising from administrative processes, provided these are made within the time allowed by the awarding bodies.
- 5.7. Late entries are administered by the Exams Manager. Late entry or amendment fees may be paid by departments/candidates if deadlines are not met.

6. The Disability Discrimination Act (DDA), SEN and Access Arrangements

- 6.1. The Disability Discrimination Act 2005 (DDA) extends the application of the DDA to general qualifications. All exam centre staff must ensure that the Access Arrangements and Special Consideration regulations and guidance are consistent with the law.
- 6.2. A candidate's Special Educational Needs (SEN) requirements are determined by the SENCO. The SENCO will consult with Curriculum Leaders about candidates with Special Educational Needs who are embarking on a course leading to an exam. The SENCO can then inform individual staff of any special arrangements that individual candidates can be granted during the course and in the exam.
- 6.3. Making Access Arrangements for candidates to take exams is the responsibility of the SENCO and Head of Centre. The submission of completed Access Arrangement applications to the awarding bodies is the responsibility of the SENCO. The decision to grant Access Arrangements for candidates ultimately lies with the JCQ. Appropriate evidence must be provided to support all applications and,

'Normal way of working' will always be the deciding factor.
- 6.4. Rooming for Access Arrangement candidates will be arranged by the SENCO with the Exams Manager. Invigilation and support for Access Arrangement candidates will be organised by the SENCO with the Exams Manager. Any candidate that does not use their Access Arrangement for examinations, may lose their Access Arrangement and be seated in the main examination hall for

all exams. Any decision such as this will be communicated to the candidate and parent prior to taking place.

7. Managing Invigilators and Exam Days

- 7.1. External invigilators will be used for both internal and external exams. The booking and timetabling of these invigilators is the responsibility of the Exams Manager.
- 7.2. All invigilators must receive appropriate invigilation training each year.
- 7.3. The Exams Manager will book all exam rooms, after liaison with other users, and make the question papers, other exam stationery and materials available for the invigilator. Site management is responsible for setting up the allocated rooms.
- 7.4. The Exams Manager will distribute all exam papers to the relevant examination rooms. To avoid potential breaches of security, care **MUST** be taken when opening question paper packets. Another member of staff should check the time, date and other paper details before the question paper packet is opened. Paper packets can be opened, under secure conditions in the secure room, up to ninety minutes before the published start time of the examination. The papers will then be placed in a sealed envelope and delivered to the examination rooms. Where papers do not need to be distributed to other examination rooms, the papers should be taken to the designated examination room and opened up to sixty minutes prior to the awarding bodies published start time.
- 7.5. A senior member of staff will start all exams in accordance with JCQ guidelines. The mentor for the Year group or the Head of Year will be responsible for identifying candidates and marking them off on the exam register. NB: A teacher who teaches the subject being examined, or a senior member of teaching staff who has had overall responsibility for the candidates' preparation for the examination, cannot act as an invigilator. Subject staff should not come into the examination room with the sole intention of reading the exam paper. Anybody who does this will not be allowed to leave the exam room for 1 hour after the published start time.
- 7.6. Exam papers must not be removed from the exam room before the end of a session. At the end of the exam, either the Lead Invigilator or the Exams Manager will retrieve papers for collation and dispatch. Any spare question papers will be distributed to Curriculum Leaders 24 hours after the examination has taken place.

8. Candidates, Clash Candidates and Special Consideration

- 8.1. The Centre's published rules on acceptable dress, behaviour and candidates' use of wrist watches, mobile phones and all electronic devices apply at all times. Candidates' personal belongings remain their own responsibility and the Centre accepts no liability for their loss or damage.
 - 8.1.1 Candidates must not bring any food into the exam room. If they wish to bring a drink, they must bring these in a bottle with a lid and remove all labels/packaging.
- 8.2. Disruptive candidates are dealt with in accordance with JCQ guidelines.
- 8.3. Candidates will not be allowed to leave the exam room before the end of the exam except under exceptional circumstances. If a candidate leaves the exam room and is not under Centre supervision, they will not be permitted to return.

- 8.4. The Attendance Team will attempt to contact any candidate who is not present at the start of an exam and deal with them in accordance with JCQ guidelines.
 - 8.4.1. Late entry may be allowed at the discretion of the SLT – very late entry (more than one hour after the published start time) will be reported to the Exam Board. Candidates will be given the opportunity to sit the exam for its published duration.
- 8.5. Where candidates have examination clashes the Exams Manager will be responsible for managing those clashes.
 - 8.5.1. Where candidates have two or more examinations in a session and the total time is less than 3 hours, a supervised break of no more than twenty minutes may be given. The break must be in the examination room under formal exam conditions and the candidate will not be allowed to revise.
 - 8.5.2. Where candidates have two or more examinations in a session and the total time exceeds 3 hours (including rest breaks/extra time), an examination may be moved to an earlier or later session within the same day. Security must be maintained and candidates must be supervised throughout.
 - 8.5.3. Overnight supervision arrangement must only be applied in rare and exceptional circumstances.
- 8.6. Should a candidate be ill before an exam, suffer bereavement or other trauma, be taken ill during the exam itself or otherwise disadvantaged or disturbed during an exam, then it is the candidate's responsibility to alert the Centre, the Exams Manager, or the Exam Invigilator, to that effect. The candidate must support any special consideration claim with appropriate evidence within three days of the exam, for example a letter from the candidate's doctor. The Exams Manager will then apply for Special Consideration via the Awarding Bodies secure website(s).
- 8.7. Should candidates require separate invigilation, at the discretion of a member of the SLT, suitable arrangements will be made by the Exams Manager so that JCQ Regulations are adhered to. The candidate will have at least one invigilator and arrangements will be made on a case-by-case basis.

9. Overnight Supervision

- 9.1. When a candidate is entered for multiple examinations (three or more) and total more than five and a half hours (including extra time and/or rest breaks), overnight supervision may be applied for once all other options have been exhausted.
- 9.2. An invigilator must supervise the candidate at all whilst at the centre sitting examinations. The candidate must not have contact with other students and be supervised by an invigilator from 30 minutes after the published start time.
- 9.3. The JCQ Overnight Supervision form (completed online Centre Admin Portal [CAP]) and Overnight Supervision Declaration form (downloaded from the CAP) must be completed prior to the overnight supervision commencing.
- 9.4. The supervisor for the overnight supervision must be a member of SLT who does not teach the subject examined, was not present in the examination at the published time and who has no knowledge of the examination content.

- 9.5. During the overnight supervision, the candidate will not meet or communicate with anyone who has had access to the examination content. The candidate will not have access to any form of electronic or communication devices including mobile and landline telephones, email, internet and social media.
- 9.6. The Centre will ensure the integrity of the exam is maintained during the overnight supervision and if there are any breaches of security, will inform the awarding body immediately.
- 9.7. Make all parties aware that any breach of security may lead to the candidate's script not being accepted and the candidate/s involved disqualified.
- 9.8. The centre will ensure that any examination not undertaken on the scheduled day is rearranged for the following morning. If the exam should have taken place on a Friday afternoon, the exam will be rearranged for a Saturday morning.
- 9.9. The centre will ensure that any examination papers completed on the schooled day will be sealed and stored in the centre's secure storage until all candidates have undertaken the examination on the following day.

10. Non-Examination Assessment (NEA)

- 10.1. Candidates who have to prepare NEA should do so by the required deadline. All NEA should be conducted in line with JCQ regulation. Curriculum areas will set their own timeline for assessment. This information must be shared with the Exams Manager/Exams Lead upon request.
- 10.2. All NEA work must be stored securely in the appropriate department until after the Enquiries About Results (EARs) period has ended. The Exams Manager will conduct inspections of all secure storage areas throughout the Autumn Term.
- 10.3. Curriculum Leaders will ensure all NEA is ready for dispatch at the correct time and the Exams Manager will keep a record of what has been sent, when and to whom. Marks for all internally assessed work are provided to the Exams Manager by the Curriculum Leaders.
- 10.4. Appeals (please also see Chapter 12):
 - Appeals will only be entertained if they apply to the process leading to an assessment.
 - There is no appeal against the mark or grade awarded.
 - Candidates may appeal if they feel their coursework has been assessed unfairly, inconsistently or not in accordance with the specification for the qualification.
 - Appeals should be made in writing to the Head of Centre (or other nominee) who will decide whether the process used conformed to the necessary requirements.
 - The Head of Centre's findings will be notified in writing, copied to the Exams Manager and recorded for Awarding Body inspection.

11. Results, Enquires About Results (EARs) and Access to Scripts (ATS)

- 11.1. Candidates will receive individual results slips on results days which should be collected in person from the Centre. Arrangements for the school to be open to students on results days are made by the Head of Centre. The provision of staff on results days is the responsibility of the Head of Centre.
- 11.2. Enquiries About Results (EARs) may be requested by Centre staff or candidates if there are

reasonable grounds for believing there has been an error in marking. If a result is queried, the Exams Manager, Data Team, Teaching Staff and Head of Centre will investigate the feasibility of asking for a re-mark at the Centre's expense. If a candidate requests an EAR against the advice of Centre staff, they will be charged and the fee must be paid prior to application. If this request results in a grade change, the candidate will be reimbursed.

- 11.3. In the event that the Centre declines to accept a request for an EAR from a candidate or the candidate disagrees with an internal assessment the candidate may ask for the matter to be reviewed. Any such review will be undertaken by the Head of Centre or Deputy Head Teacher taking into account the views of the candidate and advice of Centre staff. The decision will be final.
- 11.4. The EAR Appeals process is only available to exam centres or private candidates, who remain dissatisfied after receiving the outcome of an enquiry about results. The grounds for appeal must relate to the Awarding Body's procedures or the application of the post-results service procedures.
- 11.5. After the release of results, candidates may ask the Exams Manager to request the return of papers within three days of scrutiny of the results. The cost of this service will be charged to the candidate.
- 11.6. Centre staff may also request scripts for investigation or for teaching purposes. For the latter, the consent of candidates must be obtained.

12. Certificates

- 12.1. Certificates are collected and signed for by the candidates.
- 12.2. Certificates may be collected on behalf of a candidate by a third party, provided they produce written consent from the candidate and identification upon collection.
- 12.3. Replacement certificates are only available by direct application, by the candidate, to the Awarding Body. Candidates are made aware via the website that not all Awarding Bodies offer a replacement service. School can provide a statement of results on headed paper in lieu of this.
- 12.4. Certificates will not be sent to candidates in the post.
- 12.5. The Centre will retain uncollected certificates for two years. After this date, the certificates will be destroyed in a confidential manner. A record is kept of which certificates have been destroyed and this is retained for a minimum of four years after the date of destruction.

13. Appeals

- 13.1. CHS South should provide fair assessments. If you think an assessment is unfair, you can appeal. We have a special three stage appeals procedure which includes:

- A formal system of recording appeals.
- Prompt responses within clearly stated times.
- Stages that give all parties the opportunity to put their case.
- Clear outcomes at each stage.
- Constructive feedback to you, the candidate.

- 13.2. STAGE 1 - Assessor and Candidate

- 13.2.1. If you disagree with an assessment, you must discuss your reasons with your assessor (normally your Subject Teacher) as soon as possible. Normally, this will be immediately after you receive the assessment decision. If this is not convenient, you should arrange an

appointment with the assessor.

13.2.2. The assessor will consider your reasons and look again at what you did for your assessment. He or she must then give you an immediate response, which must be:

- a clear explanation, backed-up in writing, of the assessment decision and
- a new decision or confirmation of the original decision.

13.2.3. If you agree with the assessor's response, then the appeal stops at that point.

13.2.4. You must tell the assessor if you are still unhappy with the decision, and your appeal will go to Stage 2.

13.2.5. You should expect to receive a response within 5 working days.

13.3. STAGE 2 – Internal Verifier

13.3.1. If you are still dissatisfied after Stage 1, the assessor will give the Curriculum Leader (or SLT Line Manager, if the assessor is the Curriculum Leader) the following information within 24 hours of the appeal reaching Stage 2:

- the original assessment record and candidate's evidence where appropriate and
- the written explanation and confirmation of the assessment decision.

13.3.2. The Curriculum Leader will reconsider the assessment decision taking into account the following:

- The candidate's reason for appeal.
- The candidate's evidence and associated records.
- The assessor's reason for the decision.
- The opinion of another assessor from the Centre.

13.3.3. The Curriculum Leader will then give you the reconsidered decision in writing within 5 working days of receiving the appeal.

13.3.4. You must tell the Curriculum Leader if you are still unhappy with the reconsidered assessment decision. The appeal will then go to Stage 3.

13.3.5. You should expect to receive a response within 5 working days.

13.4. STAGE 3 - Senior Leadership

13.4.1. If you are still dissatisfied with the decision after Stage 2 you have the right to appeal to the Deputy Head of Year 11.

13.4.2. The Curriculum Leader who acted at Stage 2 will pass the following details to the Deputy Head within 24 hours of reaching Stage 3:

- the written explanation and confirmation of the assessment decision,
- assessment records sheet(s) and
- any written comments of the Curriculum Leader.

13.4.3. You will be asked if you wish to speak to the Deputy Head, or you may be represented or accompanied by a parent, guardian or friend or make a written submission. The assessor who made the original decision will be asked to meet the Deputy Head to answer any questions.

13.4.4. The matter will be discussed in private at Senior Leadership Level and the decision will be given to you in writing within 5 working days of the meeting. At the same time the decision will also be given to the assessor, recorded and kept with all documents relating to the appeal.

13.4.5. These records should be retained and made available to the relevant Awarding Body, if necessary.

13.4.6. The decision made at Stage 3 is FINAL.

14. Escalation Process

14.1. In the event of the Head of Centre or the Deputy Headteacher over Assessment and Curriculum be absent, responsibility over exams would be passed to the Deputy Headteacher for Achievement who has undertaken an oversight of examinations previously.

14.2. In the event that the Exams Manager is absent, responsibility would be passed to the Admin Officer with responsibility for Data and Exams and assists with the exam process. Exam dates including external assessments, entry deadlines, result dates are included on the Data Assessment Calendar for SLT to access should the exams team staff be absent.

14.3. The Deputy Headteacher over Assessment and Curriculum supports, and line manages, the Exams Manager. Together with the Exams Manager, they ensure the integrity and security of the examinations and assessments are maintained.

15. Conflicts of Interest

15.1. Conflicts of interest data is collected and collated by the Exams Team. Staff are emailed and asked to return a Conflicts of Interest form.

15.2. If they note the following, the Awarding Bodies are informed prior to the published deadline for entries for each examination series:

- a member of staff is undertaking qualification at CHS South which includes internally assessed components/units
- a member of staff teaches a 'related person' (including their child, stepchild, relative, close family friend or someone they have a close relationship with)

15.3. If staff note the following, clear records are maintained, and measures are taken to mitigate any potential risk to the integrity of the qualifications affected:

- a member of staff within the exams team is undertaking examinations at CHS South or an alternative centre
- a member of centre staff is taking a qualification at the centre which does not include internally assessed components/units
- a member of staff is undertaking a qualification at another centre

15.4. All records of Conflict of Interest are saved electronically and include details of the measures being undertaken to mitigate any potential risk to the integrity of the qualifications affected.

15.5. All records are retained until after the deadline for reviews of marking, appeals, malpractice or other enquires about results have been completed.

16. Malpractice

16.1 CHS South will take all reasonable steps to prevent the occurrence of any malpractice (which includes maladministration) before, during and after the examinations have taken place

16.2. CHS South will ensure that the Awarding Bodies are informed immediately of any alleged, suspected or actual incidents of malpractice or maladministration, involving either a candidate or member of staff, by completing the appropriate documentation

16.3. Evidence will be gathered in any instances of malpractice or maladministration in accordance with JCQ Suspected malpractice – policies and procedures.

17. Examinations Contingency Planning

Risk	Early warning	Control to prevent	Control to resolve
Invigilator does not turn up.	Phone call from agency/invigilator.	Book extra invigilators for incredibly busy days.	On busy days, request emergency invigilator from agency or internal staff to cover where available.
Fire alarm goes off.	N/A	N/A	Ensure invigilators are aware of policy. SLT to assist in maintaining security of exam. Allocate specific area for exams evacuation.
Student taken ill during exam.	Possibly a call/letter from parent warning of student feeling unwell.	Ensure that mentors make EM aware of any student illness.	Invigilator aware of policy, first aider on call. Special consideration available for all students.
Bad weather or transport problems.	Weather report.	Possible delay to start of exam.	Delay start, contact AB, isolation of candidates if late and hold staggered sessions if necessary. Special Consideration.
Students do not turn up for exam.	N/A	Student timetables, info on website and information from subject teachers.	Mentors / Att Team chase up late attendees and collect students if possible/necessary.
Students turn up who are not entered.	N/A	Subject teachers/CLs ensure entry checklists are correct.	Find a paper, seat them, amend attendance list and make entry.
Cheating in the room.	Invigilator reports problem.	Warning to candidate and information from tutor.	Invigilator aware of policy, SLT on-call to deal with malpractice issue.
Disruption in the room.	Invigilator reports problem.	Warning to candidate and information from tutors to EM re problem students in order to isolate if necessary.	Invigilator aware of policy, SLT on-call to deal with malpractice issue.
Late arrivals	Phone call or just turn up late.	Candidate timetable and information from tutors.	Invigilator aware of policy. Complete Late Arrivals form.
EM does not turn up	Phone call	Regular meetings with line manager.	Ensure other staff members are aware of where to find important info/papers/equipment for exam day.
Exam room flooded	Check room, or invigilator reports problem.	Notify Estates in advance of all exams. Regular premises checks.	Find alternative accommodation. Special Consideration.

Incorrect entry made / paper received.	N/A	Subject teachers / CLs ensure entry checklists are correct.	Contact AB for copy of paper if necessary. Provide exam paper, seat and amend entry.
Damage to office.	N/A	Regular premises checks.	Need AB's handbooks, new equipment, and phone line and office space. Copies of relevant information from CLs.
System failure or power cut.	N/A	Notify Estates in advance of all exams. Regular premises checks.	Contact IT support / estates team or electrician for assistance. Contact AB to inform entries will be late.
Receiving inaccurate or late entry information.	N/A	Subject teachers / CLs ensure entry checklists are correct and on time.	Make entries and inform Finance Department of late entry fees.
Change of syllabus and no notification.	Pre-release material does not arrive. Materials arrive that are not expected.	Subject teachers / CL's ensure entry checklists are correct.	Contact AB
CL long term sick or leaves.	Resignation or sick note.	N/A	Replacement to be nominated and EM to meet with new CL to discuss current entries/syllabus etc.
Audio CD from AB does not work.	N/A	Check all CDs one hour before each exam.	If you have more than one CD, take to ICT Department to be copied. If not, hold candidates securely and contact AB
Equipment malfunction on day.	N/A	Check all equipment well in advance of exam season.	Hold candidates securely and source new equipment. Apply for Special Consideration.
Cyberattack/Ransomware	Attack in advance of exams	Robust IT security and phishing advice sent to staff	<ol style="list-style-type: none"> 1. Make IT support aware 2. Contact NCSC 3. Contact law enforcement and Action Fraud 4. Inform DoFE Use scribes to replace laptops & trust sites for secure printing.

EM – Exams Manager

AB – Awarding Body

Att Team – Attendance Team

CL - Curriculum Leader

18. Examinations Risk Assessment

	Activity	Adverse occurrence /dependency	Adverse outcome	Likelihood 1 - 3	Severity 1 - 3	Level of risk (Lx)	Control Measures	Person responsible
1	Absence of Exams Manager due to illness on exams day.	OM has keys to exam store, is aware of seating plans, clashes, any special requirements.	Exam papers unavailable, delayed start.	3	3	9	Exam store keys are also held by SLT Link, ensure deputy nominated; ensure adequate instructions available.	<ul style="list-style-type: none"> • OM • EM • HoC
2	Computer malfunction.	Exam entries, amendments cannot be made by EDI.	EB deadlines cannot be made.	2	3	6	Notify IT Manager; ensure adequate supplies of paper entry sheets. Attempt entry prior to AB deadlines. Contact AB in first instance.	<ul style="list-style-type: none"> • EM • HoC
3	Fire during examination.	Evacuation of room.	Lives endangered, exam scripts spoiled.	2	3	6	Invigilators are aware of fire procedure. Adequate fire alarm tests.	<ul style="list-style-type: none"> • EM • Link SLT • HoC • Site Manager
4	Incident in sports hall, cannot use for exam.	All main exams held in here - seats 299.	Insufficient exam rooms may violate JCQ rules.	2	3	6	Contingency plans for spare room – use of dining room/Theater as holding bay and exam room.	<ul style="list-style-type: none"> • EM • Link SLT • HoC • Site Manager
5	Non-receipt of exam papers.	Unable to hold exam - delayed start.	Delays and upset to students.	1	3	3	Check paper receipt well in advance – contact EB in good time.	<ul style="list-style-type: none"> • EM
6	Human error.	Candidates entered for incorrect tier.	Affects student grade.	3	2	6	Check of procedures by student (statement of entry) and staff (exam candidate lists) should avoid this.	<ul style="list-style-type: none"> • EM • Head of Dept • Student • Link SLT

HoC - Head of Centre

EM - Exams Manager

