



## Risk Assessment Policy

<b>Committee</b>	<b>Trust Board</b>
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### 1. Aims

Esprit Multi Academy Trust aims to ensure that:

- All risks that may cause injury or harm to staff, pupils and visitors are identified, and all control measures that are reasonably practicable are in place to avoid injury or harm
- Risk assessments are conducted and reviewed on a regular basis

### 2. Legislation and statutory requirements

This policy is based on the following legislation and Department for Education (DfE) guidance:

- Paragraph 16 of part 3 of [The Education \(Independent School Standards\) Regulations 2014](#) which requires proprietors to have a written risk assessment policy
- Regulations 3 and 16 of [The Management of Health and Safety at Work Regulations 1999](#) require employers to assess risks to the health and safety of their employees, including new and expectant mothers
- Regulation 4 of [The Control of Asbestos Regulations 2012](#) requires that employers carry out an asbestos risk assessment
- Employers must assess the risk to workers from substances hazardous to health under regulation 6 of [The Control of Substances Hazardous to Health Regulations 2002](#)
- Under regulation 2 of [The Health and Safety \(Display Screen Equipment\) Regulations 1992](#), employers must assess the health and safety risks that display screen equipment pose to staff
- Regulation 9 of [The Regulatory Reform \(Fire Safety\) Order 2005](#) says that fire risks must be assessed
- Regulation 4 of [The Manual Handling Operations Regulations 1992](#) requires employers to conduct a risk assessment for manual handling operations

- [The Work at Height Regulations 2005](#) say that employers must conduct a risk assessment to help them identify the measures needed to ensure that work at height is carried out safely
- [DfE guidance on first aid in schools](#) says schools must carry out a risk assessment to determine what first aid provision is needed
- [DfE guidance on the prevent duty](#) states that schools are expected to assess the risk of pupils being drawn into terrorism
- [The Health and Safety Executive \(HSE\)](#) say schools that manage their own pools must conduct a risk assessment

A table of all the risk assessments schools are required to have in place can be found in appendix 1 of this policy.

This policy complies with our funding agreement and articles of association.

### 3. Definitions

Risk assessment	A tool for examining the hazards linked to a particular activity or situation, and establishing whether enough precautions have been taken in order to prevent harm from them based on their likelihood and their potential to cause harm
Hazard	Something with the potential to cause harm to people, such as chemicals or working from height
Risk	The chance (high or low) that people could be harmed by hazards, together with an indication of how serious the harm could be
Control measure	Action taken to prevent people being harmed

### 4. Roles and responsibilities

#### 4.1 The Trust Board

The Trust Board has ultimate responsibility for health and safety matters in the academies, but will delegate day-to-day responsibility to the Executive Principal/Academy Principal/Executive Business Manager/Site Manager.

The Trust Board has a duty to take reasonable steps to ensure that staff and pupils are not exposed to risks to their health and safety. This applies to activities on or off the school premises.

The academy trust, as the employer, also has a duty to:

- Assess the risks to staff and others affected by school activities in order to identify and introduce the health and safety measures necessary to manage the risks

- Inform employees about risks and the measures in place to manage them

#### **4.2 The Executive Principal, Academy Principal, Executive Business Manager, Site Manager**

The Executive Principal/ Academy Principal, or their deputy in their absence are responsible for ensuring that all risk assessments are completed and reviewed.

#### **4.3 School staff and volunteers**

School staff are responsible for:

- Assisting with, and participating in, risk assessment processes, as required
- Familiarising themselves with risk assessments
- Implementing control measures identified in risk assessments
- Alerting the academy principal to any risks they find which need assessing

#### **4.4 Pupils and parents**

Pupils and parents are responsible for following the school's advice in relation to risks, on-site and off-site, and for reporting any hazards to a member of staff.

#### **4.5 Contractors**

Contractors are expected to provide evidence that they have adequately risk assessed all their planned work.

### **5. Risk assessment process**

When assessing risks in the academies, we will follow the process outlined below.

We will also involve staff, where appropriate, to ensure that all possible hazards have been identified and to discuss control measures, following a risk assessment.

**Step 1: identify hazards** – we will consider activities, processes and substances within the school and establish what associated-hazards could injure or harm the health of staff, pupils and visitors.

**Step 2: decide who may be harmed and how** – for each hazard, we will establish who might be harmed, listing groups rather than individuals. We will bear in mind that some people will have special requirements, for instance pupils with special educational needs (SEN) and expectant mothers. We will then establish how these groups might be harmed.

**Step 3: evaluate the risks and decide on control measures (reviewing existing ones as well)** – we will establish the level of risk posed by each hazard and review existing control measures. We will balance the level of risk against the measures needed to control them and do everything that is reasonably practicable to protect people from harm.

**Step 4: record significant findings** – the findings from steps 1-3 will be written up and recorded in order to produce the risk assessment. A risk assessment template can be found in appendix 2 of this policy.

**Step 5: review the assessment and update, as needed** – we will review our risk assessments, as needed, and the following questions will be asked when doing so:

- Have there been any significant changes?
- Are there improvements that still need to be made?
- Have staff or pupils spotted a problem?
- Have we learnt anything from accidents or near misses?

**Step 6: retaining risk assessments** – risk assessments are retained for the 3 years after the length of time they apply. Risk assessments are securely disposed of.

## **6. Monitoring arrangements**

Risk assessments are written as needed and reviewed by the academy management teams

## **7. Managers Guidance Notes for the use of model risk assessments**

Esprit Multi Academy Trust has numerous generic model risk assessments to assist your management of your duties under the management of health and safety at work regulations 1999. Most foreseeable hazards within education premises have already been assessed for you, though there will undoubtedly be additional site specific hazards at your establishment which will also have to be assessed and recorded.

In addition there are also further regulations that require risk assessments to be carried out and recorded. These include Manual Handling Operations Regulations (1992), Display Screen Equipment regulations (2002), assessments for new and expectant mothers, young person's etc.

## **8. Accessibility (Where are they kept)**

A copy of all model generic risk assessments are available in all staff Esprit

Once you have copies of the model risk assessments; the next stage is to customize them to your requirements. The following is a step by step guide to assists you:

- Read the model assessment and identify the ones that are relevant to your requirements
- Cut and paste onto a blank risk assessment or edit (if recording assessments electronically) the appropriate sections of the model assessment.
- Add additional control measures to the form that are site specific to your establishment, and not record on the model assessment (It would be prudent at this stage to ask for input from any persons who are affected by the assessment/involved in the task, activity area being assessed etc.)

## **9. Communication**

There is an annual expectation that all staff will read all risk assessments each September. Any updates or changes to risk assessments throughout the year will be made via weekly briefings to staff.

This policy will be reviewed by the sites manager on an annual basis and approved by the trust board.

## **Appendix 1: statutory risk assessments checklist**

The following table lists the risk assessments that schools are required to have in place.

Statutory or mandatory risk assessment	✓	Completed by	Date of review
Workers under the age of 18			
Asbestos			
Substances hazardous to health			
Display screen equipment			
Fire			
First aid			
Manual handling			
Working at height			
Children being drawn into terrorism			
Swimming pools (if applicable)			




**The level of risk is calculated by:**

**The likelihood**

**x**

**The Consequence**

1- Very unlikely

2- Unlikely

3- Fairly likely

4- Likely

2- Minor

3- Moderate

4- Major

(minor injury needing first aid)

(up to 3 days absence)

(more than 3 days absence)

1- Insignificant

(no injury)

5- Very likely

5- Catastrophic

(death)

**Risk rating:**

1 – 4

**Low (acceptable)**

No further action required

5 – 9 If risk cannot

**Medium (adequate)**

be lowered any further, consider risk against benefit. Monitor and look to improve at next review

10 – 16

**High (tolerable)**

Identify further control measures to reduce risk rating. Seek further advice e.g. line manager, H&S team, etc.

Do not

**Very High (unacceptable)**

undertake the activity. Implement immediate improvements 17 - 25

Name	Signed	Date


