

CONFLICT OF INTEREST POLICY (EXAMS)

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1. INTRODUCTION

1.1 Hollingworth Academy is required to have in place a Conflict of Interest Policy that enables us to identify, manage and mitigate conflict of interest. All staff and other individuals have a responsibility to be aware of the potential for a conflict of interest.

2. PURPOSE

2.1 The purpose of this policy is to protect our integrity as an academy and the integrity of our qualifications. The policy is also designed to protect our staff by providing guidance on handling possible conflicts of interest that may arise as a result of the academy's role in delivering courses.

This policy:

- Defines what is meant by conflict of interest.
- Describes the role of conflict of interest in the context of working with, or for, an awarding organisation.
- Sets out the responsibilities for managing conflict of interest at each level in the organisation.

3. SCOPE OF POLICY

3.1 This policy applies to all staff and other individuals who interact or potentially interact with the work of the awarding organisation. This includes individuals involved with any aspects of the creation, marketing, sales, distribution, marking or any other activity connected with the qualifications, tests and assessments and supporting resources and services.

3.2 The individuals falling within the scope of this policy include all staff employed by the academy on full time, part time or casual basis.

4. DEFINITION OF CONFLICT OF INTEREST

4.1 A conflict of interest is a situation in which an individual, or organisation, has competing interests or loyalties. In the case of an individual, the conflict of interest could compromise or appear to compromise their decisions if it is not properly managed.

4.2 Conflicts of interest can arise in a variety of circumstances for example:

- When an individual has a position of authority in one organisation that conflicts with his or her interests in another organisation.
- When an individual has interests that conflict with his or her professional position.
- Where someone works for or carries out work on the academy's behalf but may have personal interests – paid or unpaid – in another business.
- Where someone works for or carries out work on the academy's behalf, who has friends or relatives taking assessments or examinations. (*Please see Staff Children Procedure.*)

5. PRINCIPLES

5.1 The academy will:

- Review our processes annually to ensure that all conflicts of interest or potential conflicts of interest are managed and resolved.

- Ensure that the contractual arrangements clearly set out any obligations on them to declare and manage conflicts of interest arising from other activities that they undertake.
- Ensure that anyone who has access to confidential assessment material for a qualification understands the confidential nature of the content.
- Ensure that all members of staff declare any interest for friends or family sitting examinations.

6. RESPONSIBILITIES

THE GOVERNORS

6.1 The ultimate responsibility for the Conflict of Interest Policy, dissemination of the policy and management of potential and actual conflicts of interest rests with the Governing body.

MANAGEMENT

6.2 Leaders in each department are responsible for communicating the Conflict of Interest Policy to all relevant individuals within their areas of responsibility annually.

HEADS OF DEPARTMENT/LINE MANAGERS

6.3 All departments are required to review their procedures annually to ensure that they anticipate and manage potential or actual conflicts of interest.

6.4 Line managers are responsible for ensuring that all new staff receive conflict of interest information.

6.5 Any potential or actual conflict of interest must be documented by the subject leader. The SLT line manager must either resolve the issue or, for issues that cannot be resolved at this level, report the issue to the Headteacher and Governors.

ALL STAFF

6.6 All Individuals within Hollingworth Academy will be required annually to read and have responsibility for ensuring that they are familiar with and understand the Conflict of Interest Policy.

6.7 The most important feature of the policy is the requirement that an individual disclose any activity that might give rise to a potential conflict of interest. If there is any doubt whether or not it represents a conflict of interest it should be reported.

6.8 The individual and line manager are equally responsible for ensuring that the issue is documented carefully.

6.9 Any staff member considering paid or unpaid work outside of the academy should inform their manager if they think there is any potential for a **conflict of interest**. If the staff member is unsure whether a conflict of interest might arise, they should discuss this with their line manager first. The line manager should contact the Headteacher if they need advice on whether a situation presents a conflict, and a record should be kept of the discussion. A staff member must not take on any such activities that could be deemed to compete or conflict with Hollingworth activities.

6.10 Prior to each examination series, all staff and other individuals must inform the Headteacher of any candidates being entered for its examinations and other assessments, who are family members, other relatives or friends.

An email will be sent annually to all staff asking them to declare whether they are teaching or preparing a member of family (including step-family, foster-family and similar close relationships) or household for examinations or assessments, or where members of their family will be sitting examinations or assessments whether at Hollingworth Academy itself or at a different examination centre. A log of declarations will be kept by the Examinations Officer, which will be available for inspection by JCQ

and the awarding bodies will then be informed by the Examinations Officer, prior to the deadline for entries for each examination season.

RESPONSIBLE OFFICER: MONITORING AND ESCALATION

6.11 The Headteacher is responsible for escalating reports of actual or potential conflicts of interest to an appropriate level within the academy and when necessary to the Governors.

6.12 The Headteacher will begin an investigation of any issues identified within 48 hours and a preliminary report will be made available to the Governors within 5 working days.