

Aspirational Futures

Multi Academy Trust



Respect | Empowerment | Collaboration

Allegations And Concerns Raised In Relation To Staff Policy

Aspirational Futures Multi Academy Trust Wide Policy

January 2026

Document Control

Reviewed by:	Aspirational Futures Multi Academy Trust Board	Date: Nov 2023
Approved by:	Keith Fielding, Chair of Board	Date: Nov 2023
Adopted by Academies:	Nov 2023	
Review:	Annually	
Next review due by:	January 2027	

Document Information

	Information
Document Name	Aspirational Futures Multi Academy Trust Allegations And Concerns Raised In Relation To Staff Policy
Document Author	S Mitchell
Document Approval	Board of Trustees
Document Status	Version 1.1
Publication Date	Nov 2023
Distribution	Website

Version Control

Version	Issue Date	Amended By	Comments
1.0	Nov 2023	S Mitchell	New trust policy, based on the policy from Parklands High School
1.1	Jan 2025	HR	Annual review
1.2	Jan 2026	S Mitchell	Annual review

Contents

1. [Introduction](#)
2. [Part One: Managing allegations made against members of staff, supply staff, contractors or volunteers](#)
3. [Reporting an allegation](#)
4. [Investigating an allegation](#)
5. [Supporting those involved](#)
6. [Suspension](#)
7. [Resignation & references](#)
8. [Action on conclusion of the case](#)
9. [Post incident review](#)
10. [Timescales](#)
11. [Record keeping](#)
12. [Confidentiality](#)
13. [Non-recent allegations](#)
14. [Part Two: Managing low level concerns](#)
15. [Recognising low level concerns](#)
16. [Sharing low level concerns](#)
17. [Responding to low level concerns](#)
18. [Recording low level concerns](#)
19. [References](#)

1. Introduction

Aspirational Futures Multi Academy Trust takes the safeguarding of its pupils very seriously and we recognise that it is extremely important that any allegation made against a member of staff, supply staff, contractors or volunteer is managed quickly and effectively. It is also important that low safeguarding concerns are recognised and reported so behaviours can be appropriately managed.

This policy sets out how the trust will manage allegations and low level concerns raised in relation to a member of staff, supply staff, contractors or volunteers at our academy. It meets the requirements of the Department for Education statutory guidance for managing allegations set out in **Part 4 of Keeping Children Safe in Education 2025**.

This policy is set out in two parts. The first part deals with allegations made against members of staff, including supply staff, contractors or volunteers. The second part deals with low level concerns raised in relation to members of staff, including supply staff, contractors or volunteers.

2. Part One: Managing allegations made against members of staff, supply staff, contractors or volunteers

This part of the policy will be followed when managing such allegations and may be adapted to each case as required. Whilst it will be used in all cases, **Part 4 of Keeping Children Safe in Education 2025** requires that it is followed in any case where it is suspected or alleged that a member of staff, including agency staff, or a volunteer has:

- behaved in a way that has harmed a child or may have harmed a child (see our child protection and safeguarding policy for what we mean by 'harm').
- possibly committed a criminal offence against or related to a child.
- behaved towards a child or children in a way that indicates he or she may pose a risk of harm to children.
- behaved or may have behaved in a way that indicates they may not be suitable to work with children
- Point 2.1.4 above includes behaviour that may have happened outside of school that might make an individual unsuitable to work with children. This is known as transferable risk.
- This policy will be used alongside our Complaints Policy and Child Protection and Safeguarding Policy.

3. Reporting an allegation

The safety and welfare of our pupils is of paramount importance and so all staff must report their allegations immediately.

Allegations made against a member of staff, supply staff, contractors or a volunteer should be reported to the headteacher. Allegations involving the headteacher should be reported to the Chair of the Local Governing Body or CEO. The headteacher, Chair of the LGB or CEO (as appropriate) will then contact the local authority designated officer (LADO) and will then act as the case manager.

When an allegation is made they will consider two aspects:

- looking after the welfare of the child.
- investigating and supporting the person subject to the allegation.

In each case, the headteacher will:

- apply common sense and judgement;
- deal with allegations quickly, fairly and consistently; and
- provide effective protection for the child and support the person subject to the allegation.

Before contacting the LADO, the headteacher will conduct basic enquiries to establish the facts to help determine whether there is any foundation to the allegation. The headteacher will contact the LADO and an initial discussion will take place to consider the nature, content and context of the allegation and agree a course of action. The Designated Safeguarding Lead may also be involved in this discussion. This discussion may include that:

- no further action is required; or
- more information is required to determine a course of action; or
- a strategy discussion should take place; or
- the police or social care should be involved.

The trust will share relevant information with the LADO about the allegation, the child, and the person against whom the allegation has been made. If it is decided that a strategy discussion should take place, then the trust will always attend that meeting. Representatives from other agencies such as health, social care and police may also be invited to the meeting.

4. Investigating an allegation

An investigation into the allegation should normally be undertaken by a senior member of staff. Where no suitable staff are available or the nature or complexity of the allegation requires it, the trust may appoint an independent investigator.

When determining the outcome of an investigation **Part 4 of Keeping Children Safe in Education 2025** states that the following definitions should be used when determining the outcome of the investigation:

- Substantiated: there is sufficient evidence to prove the allegation.
- Malicious: there is sufficient evidence to disprove the allegation and there has been a deliberate act to deceive or cause harm to the person subject to the allegation.
- False: there is sufficient evidence to disprove the allegation.
- Unsubstantiated: there is insufficient evidence to either prove or disprove the allegation. The term, therefore, does not imply guilt or innocence.
- Unfounded: to reflect cases where there is no evidence or proper basis which supports the allegation being made.

In no circumstances would the trust cease to use a member of supply staff due to safeguarding concerns without finding out the facts and liaising with the LADO to determine a suitable outcome. Whilst the trust is not the employer of supply teachers, we will ensure allegations are dealt with properly and involve the supply staff agency in the process.

5. Supporting those involved

Parents/carers of the child(ren) involved

Parents or carers will be told about the allegation if they do not already know of it. Where a strategy discussion is required, or police or children's social care need to be involved, the trust will not tell the parents or carers until it has been agreed with those agencies what information can be shared.

The trust will keep parents or carers informed about the progress of the investigation. Where there is no criminal prosecution the trust will tell parents or carers the outcome of the investigation. The deliberations of any disciplinary hearing, and the information considered in reaching a decision, will not normally be disclosed but the parents or carers of the child will usually be told the outcome in confidence.

The member of staff or volunteer

The trust has a duty of care to its employees and will act to minimise the stress inherent in the investigation process.

The person who is the subject of the allegation will be informed as soon as possible, usually after the initial discussion with the LADO. The person will be advised of the likely course of action unless the police or children's social care raise an objection. In those circumstances the trust will work with children's social care and the police to agree what information can be disclosed and when.

The headteacher (as appropriate) will appoint a named representative to keep the person who is the subject of the allegation informed of the progress of the case and up to date on other school related matters. The headteacher (as appropriate) will also consider what other support may be appropriate for the individual. They may also be given access to support services provided by the academy.

The person who is the subject of the allegation will be advised to contact their trade union representative, or a colleague for support.

Where an allegation is made against a member of supply staff, the supply agency should provide an additional support to that member of supply staff.

6. Suspension

Suspension is not an automatic response to an allegation being made. The trust will only suspend a member of staff following careful consideration of whether there is cause to suspect the child or other children is/are at risk of harm or the case is so serious that it might be grounds for dismissal. In many cases, it may be possible for alternative arrangements to be made so that the individual can continue working such as;

- Redeploying the member of staff within the school so they do not have direct contact with the pupil(s) concerned
- Providing an assistant to be present when the individual has contact with pupils,
- Redeploying the individual to alternative work in the school so they individual does not have unsupervised access to pupils
- Moving the pupil(s) to classes where they will not come into contact with the member of staff however this decision will only be made if it is in the best interest of the child and takes into account their views

- Temporarily redeploy the member of staff to another role in a different location within the trust where available.

The trust makes the decision whether or not to suspend a member of staff. Where the police or children's social care are involved in the case, the trust will listen to their views regarding suspension. Police involvement does not make it mandatory to suspend a member of staff. Decisions will be made on a case by case basis following a risk assessment of whether the person poses a risk of harm to children.

The trust has no authority or power to suspend a member of supply staff where an allegation is made against them. Instead, the trust will immediately cease to use the services of that member of supply staff.

Where the member of staff is suspended, they will receive written confirmation within one working day and will be informed of the reason for the suspension and who their named contact within school will be for the period of the suspension. The case manager will record the rationale for this decision as well as details of other alternatives to suspension that were considered and why they were rejected.

After the suspension, if it is decided that the employee can return to the trust, measures will be put in place to support their return to work. This could include a phased return or offering another member of staff as a support system. The case manager will also consider how the staff members contact with the child who made the allegation can best be managed.

The trust will not prevent social contact with work colleagues and friends unless there is evidence to suggest such contact may prejudice the gathering of evidence.

Where the school is made aware that the Secretary of State has made an interim prohibition order, in respect of an individual who works at the school, immediate action will be taken by the school to ensure the individual does not carry out work in contravention of the order, i.e. not carrying out teaching work, pending the findings of the TRA investigation.

7. Resignation & References

If the individual resigns or the supply staff member, contractor or volunteer ceases to provide their service, the investigation into the allegation will not stop until it is completed. The individual will be given the opportunity to engage with the investigation, however the process of recording the allegation, supporting evidence and reaching a judgement will continue even if the member of staff does not cooperate. The member of staff concerned will be notified of the conclusion of the allegation and sanctions that may be imposed.

References

References will be provided in line with the trust's Safer Recruitment Policy .The Trust will ensure that any information provided confirms whether they are satisfied with the applicant's suitability to work with children, and only provide the facts and outcome of any substantiated safeguarding concerns or allegations that meet the harm threshold within any employment references. References will not include opinions, and cases will not be included where an allegation was found to be false, unfounded, unsubstantiated or malicious; this applies for repeated concerns or allegations found to be false, unfounded, unsubstantiated or malicious.

8. Action on conclusion of the case

The trust will consider whether a referral to the DBS and/or to the TRA is required if the allegation is substantiated and:

- the employee is dismissed or resigns; or
- we cease to use the supply staff member's services as a result of the allegation; or
- we cease to use the contractor's services as a result of the allegation; or
- we cease to use the volunteer's services as a result of the allegation.

Where an allegation is found to be false, the trust may refer the case to social services to determine whether the child needs support and/or to understand if there is a safeguarding reason why the false allegation was made.

The Behaviour Policy sets out the action that may be taken against pupils who are found to have made malicious allegations.

Where an allegation is made against a member of supply staff the outcome of the investigation will be shared with the supply agency and the LADO.

9. Post incident review

It is good safeguarding practice to review incidents upon their conclusion so we can learn from them and continue to improve standards of safeguarding. Where allegations are made against staff, supply staff, contractors or volunteers, the trust may carry out a review at the conclusion of the case.

10. Timescales

Investigations into allegations against staff, including agency staff or volunteers or volunteers will be concluded as quickly and efficiently as possible to ensure that any risks to the child or other children and stress to the individual is minimised as far as possible.

11. Record keeping

Allegations found to be false or malicious will be removed from the employment record of the individual. For all other allegations, a clear and comprehensive summary of the allegation, how it was followed up and resolved, a note of actions taken and decisions reached and a declaration on whether the information will be referred to in any future reference will be retained on the employment file. A copy will also be provided to the individual.

The record will be kept, including for people who leave the trust, at least until the person reaches normal pension age or for 10 years if that will be longer, from the date of the allegation.

Details of any allegation made by a pupil will be kept in the confidential section of their record.

12. Confidentiality

The trust will make every effort to maintain confidentiality and guard against unwanted publicity whilst an allegation is being investigated.

The case manager and LADO will discuss what information will be shared and with whom, alongside which actions will be taken to manage any possible breaches of confidentiality or press interest.

The Education Act 2002 introduced reporting restrictions preventing the publication of any material that may lead to the identification of a teacher in a school who has been accused by, or on behalf of, a pupil from the same school. This applies to parents and carers as well as the press.

13. Non-recent allegations

Where an adult makes an allegation to the trust that they were abused as a child, that adult will be advised to report the allegation to the police.

Non-recent allegations made by a child will be reported to the LADO in line with the local authority's procedures for dealing with non-recent allegations.

All pupils and staff will be made aware that abuse can be reported no matter how long ago it happened

14. Part Two: Managing low level concerns

This part of the policy will be followed when dealing with low level concerns raised in relation to members of staff, including supply staff, contractors or volunteers and may be adapted to each case as required. It will be used alongside the trust's complaints procedure, child protection and safeguarding policy, code of conduct and disciplinary procedure.

The trust promotes a culture in which all concerns about all adults working in or on behalf of the school (including supply teachers, contractors and volunteers) are addressed appropriately.

This policy is designed to:

- promote and support a culture of openness and trust where staff are clear about the behaviours expected of themselves and their colleagues
- ensure staff are comfortable to raise low-level concerns; and
- provide for efficient and proportionate handling of those concerns

15. Recognising low level concerns

This policy will be used to manage 'low-level' concerns, defined in **Part 4 of Keeping Children Safe in Education 2025** as any concern – no matter how small, and even if no more than causing a sense of

unease or a 'nagging doubt' - that an adult working in or on behalf of the school or college may have acted in a way that:

- is inconsistent with the staff code of conduct, including inappropriate conduct outside of work; and
- does not meet the allegations threshold or is otherwise not considered serious enough to consider a referral to the Local Authority Designated Officer (LADO).

Examples of such behaviour could include, but is not limited to:

- being over friendly with children;
- having favourites;
- taking photographs of children on their mobile phone;
- engaging with a child on a one-to-one basis in a secluded area or behind a closed door; or
- using inappropriate sexualised, intimidating or offensive language.

16. Sharing low level concerns

For our culture of openness and trust to prevail, all staff should share any low level concerns they have. Serious Case Reviews and Safeguarding Practice Reviews have often evidenced how low level concerns felt and/or expressed by staff relating to individuals who were later found to have sexually abused children at a school were not recorded. When they are not recorded, they cannot be reviewed or studied for patterns of behaviour.

To minimise and hopefully eradicate the risk of those opportunities being missed, it is critical that staff understand their role in identifying and reporting low level concerns.

All staff are encouraged to immediately report low level safeguarding concerns as defined in this policy so that the identified behaviours can be investigated and managed appropriately.

All low level concerns in relation to staff, supply staff, contractors or volunteers should be reported immediately to the headteacher. Concerns about the headteacher should be reported to the CEO or Chair of the LGB.

The procedure for reporting low level concerns is consistent with that for reporting allegations of abuse as set out in part one of this policy. Staff do not need to determine whether their concern meets the threshold set out in part one of this policy or is a low level concern. The headteacher, CEO or Chair of the LGB will make this determination once the staff member has reported the issue.

17. Responding to low-level concerns

The headteacher will review the concern to confirm that it is not a more serious issue that should be dealt with under part one of this policy. An issue reported as a low level concern would be dealt under part one of this policy where it meets the threshold set out in part one or there is a pattern of low level concerns expressed about the individual or wider staff practices generally. If necessary, the headteacher will discuss the concern with the LADO to determine whether it should be dealt with under part one of this policy.

The headteacher will discuss the concern with the individual who raised it and will investigate it as appropriate.

Most low level concerns are likely to be minor and can be dealt with by means of management support or additional training. Where necessary, action may be taken in accordance with the trust's code of conduct and disciplinary procedure.

If the concern has been raised via a third party, the headteacher will collect evidence by speaking directly to the person who raised the concern (unless it has been raised anonymously), the individual involved and any witnesses.

Where a low level concern is raised about a member of supply staff or a contractor, the concern will be shared with the supply agency so they can take appropriate steps in accordance with their own policies and statutory guidance.

18. Recording low-level concerns

All low-level concerns will be recorded in writing and will include details of the concern, the context and action taken. The records will be kept confidential and held securely in accordance with the Data Protection Act 2018 and the UK General Data Protection Regulation.

Records of low level concerns will be reviewed so potential patterns of concerning, problematic or inappropriate behaviour can be identified. If patterns are identified, the trust will decide on an appropriate course of action and will refer the matter to the LADO where the behaviour moves from a concern to meeting the threshold set out in the first part of this policy. The record of the low level concern will be kept at least until the person leaves the trust.

19. References

Low level safeguarding concerns will not be included in references except where they have met the threshold for referral to the LADO and found to be substantiated, in which case they should be referred to.