



RUSHEY GREEN

CONCERNS AND COMPLAINTS POLICY

<u>Date of Policy:</u>	March 2026	<u>Approved By:</u>
<u>Renewal Date:</u>	March 2029	Full Governing Body

1. Introduction

Since 1 September 2003 Governing Bodies (GBs) of all maintained schools and maintained nursery schools in England were required, under Section 29 of the Education Act 2002, to have a procedure in place to deal with complaints relating to the school and to any community facilities or services that the school provides. Best Practice Guidance for School Complaints Procedures March 2019 underpins this policy. This document sets out the steps to resolve any concerns and complaints.

2. The Difference Between A Concern And A Complaint

A 'concern' may be defined as 'an expression of worry or doubt over an issue considered to be important for which reassurances are sought'. A complaint may be generally defined as 'an expression of dissatisfaction however made, about actions taken or a lack of action'.

3. Dealing With Complaints - Initial Concerns

Through the building of positive relationships and effective communication we aim to ensure that parents and carers feel able to voice any concerns in an informal way. Concerns ought to be handled, if at all possible, without the need for formal procedures. We hope that by taking informal concerns seriously at the earliest stage we will reduce the number that develop into formal complaints. However, formal complaints should always follow the complaints procedure.

In most cases the class teacher will receive the first approach. She/he will try to resolve issues on the spot. This may include offering a clear explanation; in some cases accepting that a situation could have been handled better; in other cases an apology may be appropriate.

4. Dealing With Complaints – Formal Procedures

4.1 Who Can Make A Complaint?

Any person, including members of the general public, may make a complaint about any provision of facilities or services that a school provides, unless separate statutory procedures apply (such as exclusions or admissions). Schools must not limit complaints to parents or carers of children that are registered at the school.

4.2 Framework of Principles

If informal procedures do not resolve the issue, the school's formal procedures aim to be swift, fair, impartial and non-adversarial. An effective complaints procedure will:

- encourage resolution of problems by informal means wherever possible
- be easily accessible and publicised
- be simple to understand and use
- be impartial
- be non-adversarial
- allow swift handling with established time-limits for action and keeping people informed of the progress
- ensure a full and fair investigation by an independent person where necessary
- respect people's desire for confidentiality

- address all the points at issue and provide an effective response and appropriate readdress, where necessary
- provide information to the school's senior management team so that services can be improved.

We expect parents and staff to talk to each other with respect and to listen carefully to what each other has to say.

4.3 Investigating Complaints

At each stage, the person investigating the complaint must ensure that they:

- establish what has happened so far, and who has been involved
- clarify the nature of the complaint and what remains unresolved
- meet with the complainant or contact them (if unsure or if further information is required)
- clarify what the complainant feels would put things right
- interview those involved in the matter and/or those complained about, allowing them to be accompanied if they wish
- conduct the interview with an open mind and be prepared to persist in the questioning
- keep notes of the interview.

4.4 Resolving Complaints

At each stage in the procedure the school will keep in mind ways in which a complaint can be resolved. It might be sufficient to acknowledge that the complaint is valid in whole or in part. In addition, it may be appropriate to offer one or more of the following:

- an apology
- an explanation
- an admission that the situation could have been handled differently or better
- an assurance that the event complained of will not recur; an explanation of the steps that have been taken to ensure that it will not happen again
- an undertaking to review school policies in light of the complaint.

Complainants will be encouraged to state what actions they feel might resolve the problem at any stage. An admission that the school could have handled the situation better is not the same as an admission of negligence.

4.5 Time Limits

Complaints need to be considered and resolved, as quickly, and efficiently as possible. Realistic time limits for each action within each stage will be set and notified to the complainant. However, where further investigations are necessary, new time limits can be set. The complainant will be sent details of the new deadline and an explanation for the delay.

4.6 Cut-off Limits

It is reasonable to expect parents/carers to make a complaint as soon as possible after an incident arises and at least within three months. There may, however be good reasons why this is not the case and consideration will be given to extending the cut off in exceptional circumstances.

5. The Complaints Procedure

The following procedure must be followed, stage by stage. A summary of the procedure can be found in Appendix 3.

5.1 Stage 1: Talk To The Teacher

If you are worried about something that has happened in school concerning your child, talk to the class teacher before or after school or by making an appointment via the School Office, giving an outline of your concern.

We do not expect parents to go to the Headteacher first unless:

- You have serious concerns about a teacher's actions or behaviour.
- You have concerns about the conduct of a governor or member of staff who is not a teacher.

5.3 Stage 2: Talk To The Year Group Leader

If talking to the teacher does not seem to have resolved a particular problem, you should make an appointment to see the Year Group Leader. You can expect them to investigate your concerns and to discuss the outcome with you.

5.3 Stage 3: Talk To The Deputy Headteacher Or Headteacher

If talking to the teacher and phase leader does not seem to have resolved a particular problem, you should make an appointment to see the Deputy Headteacher or in some circumstances the Headteacher. You can expect them to investigate your concerns, and to discuss the outcome with you and/or respond within ten working days. If your complaint is about the Headteacher, it should be taken to the Chair of the governing body. If your complaint is about the Chair of the governing body or the governing body, it should be taken to the Clerk to the governing body.

5.4 Stage 4: Mediation

If no agreement has been reached between you and the school a mediation meeting will be initiated by either party within 15 school days.

You may be accompanied by a friend, if you wish, to assist you in explaining the nature of your concerns. A member of staff will also be present to represent the school's view. The meeting will be chaired by the Headteacher or a designated Governor as appropriate. Such an approach should allow for all options to be explored and help build better long-term relationships by encouraging all parties to work together to reach a resolution. The Chairperson will write to both parties within 5 days to outline any agreements.

5.5 Stage 5: Complaint Heard By Chair Of Governors

If the complainant is not satisfied with the response of the Headteacher, the complainant should write to the Chair of Governors to request that their complaint is considered further.

5.6 Stage 6: Complaint Heard By Governing Body's Complaints Appeal Panel

The complainant needs to put the complaint in writing and send it to the school office for the attention of the Clerk to the Governing Body. The Chair, or if the Chair has been involved at any

previous stage in the process, a nominated governor, will convene a Governing Body complaints panel. A Complaint Form is provided to assist you. A copy of this form can be found in Appendix 1.

You should include details which might assist the investigation, such as names of potential witnesses, dates and times of events, and copies of relevant documents.

It is very important that you include a clear statement of the actions that you would like the school to take to resolve your concern. Without this, it is difficult to proceed.

The clerk will seek similar written responses from the school, where this is necessary. The clerk will convene a panel of governors, who have not previously been involved with the complaint. A meeting of the panel will take place, usually within 20 school days, to consider the matter. The complainant (who may be accompanied by a friend if they wish) and representative(s) from the school (who may also be accompanied by workplace colleagues or representatives from their professional associations) may be invited to attend this meeting in order to clarify the matter. As the panel meeting is intended to be investigatory, rather than adversarial, the persons giving evidence or making representations to the panel will normally attend separately.

If the designated governor has been involved in attempting to resolve the complaint s/he may present information at the panel meeting, but will take no part in the decision making.

Further Guidance can be found in Appendix 2.

5.6.1 The remit of the Complaints Appeal Panel

The panel can:

- dismiss the complaint in whole or in part
- uphold the complaint in whole or in part
- decide on the appropriate action to be taken to resolve the complaint
- recommend changes to the school's systems or procedures to ensure that problems of a similar nature do not recur.

5.6.2 Notification of the Panel's Decision

You should be informed of the panel's decision in writing, usually within 5 days of the hearing.

Consideration of the complaint by the Governing Body and the school, except for any actions that are agreed, will terminate at this point.

If the complainant is not satisfied that the appropriate procedure has been followed, they may request a review of that process by another panel of the Governing Body.

The final stage of appeal is to the Secretary of State for Education. Complainants should be advised to write to The School Complaints Unit (SCU) at: Department for Education, 2nd Floor, Piccadilly Gate, Manchester, M1 2WD.

6. Serial and Persistent Complainants

We will do our best to be helpful to people who contact us with a complaint or concern or a request for information. However, in cases where we are contacted repeatedly by an individual, or a spouse, partner or grandparent, making the same points, or who asks us to reconsider our position, we will need to act appropriately.

There will be occasions when, despite all stages of the complaint procedure having been followed, the complainant remains dissatisfied. It is important for us to recognise when we have done everything we can in response to a complaint. It is a poor use of school time and resources to reply to repeated letters, emails or telephone calls making substantially the same points. If a complainant tries to re-open the same issue, the Chair of Governors will inform them that the procedure has been completed and that the matter is now closed.

If the complainant contacts the school again on the same issue, then the correspondence may be viewed as 'serial' or 'persistent' and the school may choose not to respond.

6.1 Is it time to stop responding?

The decision to stop responding will not be taken lightly. We need to be able to say yes to all of the following:

- The school has taken every reasonable step to address the complainant's needs
- The complainant has been given a clear statement of the school's position and their options (if any); and
- They are contacting the school repeatedly but making substantially the same points each time.

The case is stronger if the school agrees with one or more of these statements:

- The school has reason to believe the individual is contacting them with the intention of causing disruption or inconvenience - have they actually said as much in a letter, email or telephone call?
- Their letters/emails/telephone calls are often or always abusive or aggressive.
- They make insulting personal comments about or threats towards staff.

7. Vexatious Complainants

We are committed to dealing with all complaints fairly and impartially, and to providing a high quality service to those who complain. We will not normally limit the contact complainants have with the school. However, we do not expect our staff to tolerate unacceptable behaviour and will take action to protect staff from that behaviour, including that which is abusive, offensive or threatening.

We define vexatious complainants as 'those who, because of the frequency or nature of their contacts with the school, hinder our consideration of their or other people's complaints'.

A complaint may be regarded as vexatious when the person making the complaint:

- refuses to articulate their complaint or specify the grounds of a complaint or the outcomes sought by raising the complaint, despite offers of assistance
- refuses to co-operate with the complaints investigation process while still wishing their complaint to be resolved

- refuses to accept that certain issues are not within the scope of a complaints procedure
- insists on the complaint being dealt with in ways which are incompatible with the adopted complaints procedure or with good practice
- introduces trivial or irrelevant information which the complainant expects to be taken into account and commented on, or raises large numbers of detailed but unimportant questions, and insists they are fully answered, often immediately and to their own timescales
- makes unjustified complaints about staff who are trying to deal with the issues, and seeks to have them replaced
- changes the basis of the complaint as the investigation proceeds
- repeatedly makes the same complaint (despite previous investigations or responses concluding that the complaint is groundless or has been addressed)
- refuses to accept the findings of the investigation into that complaint where the school's complaint procedure has been fully and properly implemented and completed including referral to the Department for Education
- seeks an unrealistic outcome
- makes excessive demands on school time by frequent, lengthy, complicated and stressful contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with; and
- covertly recording meetings and conversations will not be accepted as evidence. If a member of staff suspects they are being recorded they are at liberty to end the conversation after explaining why they are doing so. Alternative arrangements will be made for communication.

A complaint may also be considered vexatious if the person making the complaint does so either face-to-face, by telephone or in writing or electronically:

- maliciously
- aggressively
- using threats, intimidation or violence; • using abusive, offensive or discriminatory language
- knowing it to be false
- using falsified information
- publishing unacceptable information in a variety of media such as in social media websites and newspapers.

Complainants should limit the number of communications with a school while a complaint is being progressed. It is not helpful if repeated correspondence is sent (either by letter, phone, email or text) as it could delay the outcome being reached. Whenever possible, the Headteacher or Chair of Governors will discuss any concerns with the complainant informally before applying an 'unreasonable' marking.

If the behaviour continues the Headteacher will write to the complainant explaining that their behaviour is unreasonable and asking them to change it. For complainants who excessively contact the school causing a significant level of disruption, we may specify methods of communication and limit the number of contacts in a communication plan. This will usually be reviewed after 6 months.

In response to any serious incident of aggression or violence, the concerns and actions taken will be put in writing immediately and the police informed. This may include banning an individual from schools.

8. Processes for Persistent or vexatious complaints or harassment

8.1 In the first instance the school will verbally inform the complainant that his/her behaviour is considered to be becoming unreasonable/unacceptable and, if it is not modified, action may be taken in accordance with this policy.

8.2 This will be confirmed in writing

8.3 If the behavior is not modified the school will take some or all of the following actions as necessary, having regard to the nature of the complainant's behavior and the effect of this on the school community.

- a) Inform the complainant in writing that his/her behavior is now considered by the school to be unreasonable/unacceptable and, therefore, to fall under the terms of this policy.
- b) Inform the complainant that all meetings with a member of staff will be conducted with a second person present and that notes of meetings may be taken in the interests of all the parties.
- c) Inform the complainant that, except in emergencies, all routine communication with the complainant to the school should be by letter only.
- d) (in the case of physical, or verbal aggression) take advice from HR/Legal Services consider warning the complainant about being banned from the school site; or proceed straight to a temporary ban.
- e) Consider taking advice on pursuing a case under Anti-Harassment legislation
- f) Consider taking advice from HR/Legal Services about putting in place a specific procedure for dealing with complaints from the complainant i.e. the complainant will not be able to deal directly with the headteacher but also with a third person to be identified by the governing body of the school, who will investigate, determine whether or not the concern/complaint is reasonable or vexatious and then advise the headteacher accordingly.

8.4 Thus, based on 8.3 legitimate new complaints may still be considered even if the person making them is, or has been, subject to the Policy for Dealing with Persistent or Vexatious Complaints and/or Harassment in Schools. However, the school will be advised by HR and Legal Advisers.

8.5 If a complainant's persistent complaining/harassing behavior is modified and is then resumed at a later date within a reasonable period of time, the school may resume the process identified above at an appropriate level. In these circumstances advice may be sought from HR and Legal Advisers.

8.6 If a parent's behavior is a cause for concern, a school can ask him/her to leave the school premises. In serious cases, the headteacher can notify them in writing that their implied license to be on school premises has been temporarily revoked subject to any representations that the parent may wish to make. The schools will always give the complainant the opportunity to express their views formally and in writing, the decision to bar in writing. Although fulfilling a public function, schools are private places. The public has no automatic right of entry. Schools will therefore act to ensure they remain a safe place for pupils, staff and other members of their community.

8.7 The school reserves the right to:

- a) cease to respond to complaints of a vexatious nature
- b) bring legal action for harassment against the complainant
- c) direct the complainant to the Education and Skills Funding Agency

9. Barring from the School Premises

Although fulfilling a public function, schools are private places. The public has no automatic right of entry. Schools will therefore act to ensure they remain a safe place for pupils, staff and other members of their community.

If a parent's behaviour is a cause for concern, a school can ask him/her to leave school premises. In serious cases, the Headteacher or the local authority can notify them in writing that their implied licence to be on school premises has been temporarily revoked subject to any representations that the parent may wish to make. Schools should always give the parent the opportunity to formally express their views on the decision to bar in writing.

The decision to bar should then be reviewed, taking into account any representations made by the parent, and either confirmed or lifted. If the decision is confirmed the parent should be notified in writing, explaining how long the bar will be in place.

Anyone wishing to complain about being barred can do so, by letter or email, to the Headteacher or Chair of Governors. However, complaints about barring cannot be escalated to the Department for Education. Once the school's own complaints procedure has been completed, the only remaining avenue of appeal is through the Courts; independent legal advice must therefore be sought.

10. Managing and Recording Complaints

10.1 Recording Complaints: Stage 1 – 3

The school will record the progress of the complaint including notes of meetings and telephone calls as well as the final outcome. Where appropriate the school will draft a letter confirming what has been discussed and agreed. The school will ensure that any records are kept secure and, under GDPR Regulations 2016/679, they will not be kept for longer than is necessary.

10.2 Recording Complaints: Stage 4 – 5 The hearings will be minuted.

11. Governing Body Review

The GB will monitor the level and nature of complaints that reach stages 4-6 and review the outcomes to ensure the effectiveness of the procedure and make changes where necessary.

Complaint information shared with the whole GB will not name individuals. As well as addressing an individual's complaint, the process of listening to and resolving complaints will contribute to the school's improvement. When individual complaints are heard, the school may identify underlying issues that need to be addressed.

Appendix 1: Complaint Form

Appendix 2: The Remit of the Complaints Appeal Panel
Appendix 3: Summary of Complaint's Procedure

Appendix 1: Complaint form

Your Name:		Pupil's Name:	
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Your Relationship to the Pupil	
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Address:	
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Post Code:	
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Daytime Tel No:		Evening Tel No:	
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Email Address:	
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Are you attaching any paperwork? *If so, please give details.*

Please provide details of your complaint including dates and times:
No further complaints/issues can be added at a later date.

What action, if any, have you already taken to try and resolve your complaint?

(Who did you speak to and what was the response?)

What actions do you feel might resolve the problem at this stage?

Signature.....

Dated.....

OFFICE USE ONLY

Date acknowledgement sent:

By who:

Complaint referred to:

Date:

Appendix 2: The Complaints Appeal Panel Guidance for Governors

When Sitting on A Complaints Panel

There are several points which any governor sitting on a complaints panel needs to remember:

- It is important that the appeal hearing is independent and impartial and that it is seen to be so.
- No governor may sit on the panel if they have had prior involvement in the complaint or in the circumstances surrounding it. In deciding the make-up of the panel, governors need to try and ensure that it is a cross-section of the categories of governor and sensitive to the issues of race, gender and religious affiliation.
- The aim of the hearing, which needs to be held in private, will always be to resolve the complaint and achieve reconciliation between the school and the complainant. However, it has to be recognised that the complainant might not be satisfied with the outcome if the hearing does not find in their favour. It may only be possible to establish the facts and make recommendations which will satisfy the complainant that his or her complaint has been taken seriously.
- An effective panel will acknowledge that many complainants feel nervous and inhibited in a formal setting. Parents often feel emotional when discussing an issue that affects their child. The panel Chair will ensure that the proceedings are as welcoming as possible. The layout of the room will set the tone and care is needed to ensure the setting is informal and not adversarial.
- The governors sitting on the panel need to be aware of the complaint's procedure.

Roles and Responsibilities

2.1 The Role of The Clerk

The department strongly recommends that any panel or group of governors considering complaints be clerked. The clerk would be the contact point person for the complainant and be required to:

- set the date, time and venue of the hearing, ensuring that the dates are convenient to all parties
- and that the venue and proceedings are accessible
- collate any written material and send it to the parties in advance of the hearing (recommended
- at least five school days in advance)
- meet and welcome the parties as they arrive at the hearing
- record the proceedings; • notify all parties of the panel's decision.

As best practice, the Clerk should share copies of the panel meeting minutes with all parties involved in the panel hearing, providing a reasonable opportunity for the minutes to be agreed and if necessary, challenged. It is not unknown for complainants to raise additional complaints because they do not agree with the record of the meeting.

2.2 The Role Of The Chair Of The Governing Body Or The Nominated Governor

The nominated governor role:

- Check that the correct procedure has been followed
- If a hearing is requested, notify the clerk to arrange the panel.

2.3 The role of the Chair of the Panel

The Chair of the Panel has a key role, ensuring that:

- the remit of the panel is explained to the parties and each party has the opportunity of presenting their case without undue interruption
- the issues are addressed
- key findings of facts are made
- parents and others who may not be used to speaking at such a hearing are put at ease
- the hearing is conducted in an informal manner with each party treating the other with respect and courtesy
- the panel is open minded and acting independently
- no member of the panel has a vested interest in the outcome of the proceedings or any involvement in an earlier stage of the procedure
- each side is given the opportunity to state their case and ask questions
- written material is seen by all parties. If a new issue arises it would be useful to give all parties the opportunity to consider and comment on it.

3. Checklist for a Panel Hearing

The panel needs to take the following points into account:

- the hearing is as informal as possible.
- witnesses are only required to attend for the part of the hearing in which they give their evidence.
- after introductions, the complainant is invited to explain their complaint, and be followed by their witnesses.
- the headteacher is then invited to explain the school's actions, and be followed by the school's witnesses.
- the complainant and the headteacher may question each other via the panel
- the panel may ask questions at any point.
- both parties leave together while the panel decides on the issues.
- the Chair explains that both parties will hear from the panel within a set time scale

Appendix 3: Summary of Complaints' Procedure

Stage 1: Discuss with Class Teacher

Issue Resolved Issue Not Resolved

Stage 2: Discuss with Team Leader

Issue Resolved Issue not Resolved

Stage 3: Discuss with Deputy Headteacher or Headteacher

Issue Resolved Issue not Resolved

Stage 4: Mediation

Issue Resolved Issue not resolved

Stage 5: Complaint heard by Chair of Governor

Issue Resolved Issue not Resolved

Stage 6: Governors' Complaints panel meeting arranged

Issue Resolved Issue not Resolved

Governors' review panel arranged