



Serial and Persistent Complainants Policy

Applicable to:	✓	Astley Community High School
	✓	Seaton Sluice Middle School
	✓	Whytrig Middle School
Approval body:	Full Governing Body	

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Business Manager (BW)	1 August 2018	0.1	Initial draft based on NCC model policy (May 2018)
Changed by	Revision Date		
Business Manager (BW)	13 December 2018	1.0	Final approved version for publication

1 Overview

- 1.1 The federation will do its best to be helpful to people who contact our schools with a complaint or concern or a request for information. However, in cases where we are contacted repeatedly by an individual making the same points, or who asks us to reconsider our position after a decision is made, the federation would need to take the appropriate actions.
- 1.2 Once the federation has done everything it can in response to a complaint then any complainant will need to be informed by the Chair of Governors that the procedure has been completed and the matter now closed. Should a complainant contact the federation again on the same issue, then the correspondence may be viewed as serial or persistent and the federation may choose not to respond. The federation will always ensure that the complainant has exhausted the Complaints Procedure before such a decision is taken.
- 1.3 With respect to the recognised term ‘vexatious’ in the context of Freedom of Information (FOI), this can be defined as “manifestly unjustified, inappropriate or improper use of a formal procedure”. An exemption therefore exists in section 14(1) of the Freedom of Information Act 2000, although this is to be applied to the requests themselves and not the individuals.
- 1.4 The federation will take the decision to stop responding only when all of the following apply:
 - The federation has taken every reasonable step to address the complainant’s needs.
 - The complainant has been given a clear statement of the federation’s position and their options (if any).
 - They are contacting the federation repeatedly but making substantially the same points each time.
- 1.5 Additional weight is added if any of the following also apply:
 - The federation has reason to believe the individual is contacting them with the intention of causing disruption or inconvenience.
 - The communications are often or always abusive or aggressive.
 - The individual makes insulting, personal comments about or threats towards staff.
- 1.6 Where necessary, further advice and guidance will be sought from the Governance Support Team at Northumberland County Council.

2 Policy

- 2.1 The federation is committed to dealing with all complaints fairly and impartially, and to providing a high quality service to those who complain. We will not normally limit the contact complainants have with the school. However, we do not expect our staff to tolerate unacceptable behaviour and will take action to protect staff from that behaviour, including that which is abusive, offensive or threatening.
- 2.2 The federation defines unreasonable complainants as “those who, because of the frequency or nature of their contacts with our schools, hinder our consideration of their or other people’s complaints”.

- 2.3 A complaint may be regarded as unreasonable when the person making the complaint:
- refuses to articulate their complaint or specify the grounds of a complaint or the outcomes sought by raising the complaint, despite offers of assistance
 - refuses to cooperate with the complaints investigation process while still wishing their complaint to be resolved
 - refuses to accept that certain issues are not within the scope of the federation's Complaints Procedure
 - insists on the complaint being dealt with in ways which are incompatible with the federation's Complaints Procedure or with good practice
 - introduces trivial or irrelevant information which the complainant expects to be taken into account and commented on, or raises large numbers of detailed but unimportant questions with tenuous links to the subject of their complaint and insists they are fully answered, often immediately and to their own timescales
 - makes unjustified complaints about staff who are trying to deal with the issues, and seeks to have them replaced
 - changes the basis of the complaint as the investigation proceeds
 - repeatedly makes the same complaint (despite previous investigations or responses concluding that the complaint is groundless or has been addressed)
 - refuses to accept the findings of the investigation into a complaint where the federation's Complaints Procedure has been fully and properly implemented and completed including referral to the Department for Education;
 - seeks an unrealistic outcome
 - makes excessive demands on school time by frequent, lengthy, complicated and stressful contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with
- 2.4 A complaint may also be considered unreasonable if the person making the complaint does so either face-to-face, by telephone, in writing or electronically:
- maliciously
 - aggressively
 - using threats, intimidation or violence
 - using abusive, offensive or discriminatory language
 - knowing it to be false or using falsified information
 - publishing unacceptable information in a variety of media such as in social media websites and newspapers
- 2.5 Complainants should limit the numbers of communications with a school while a complaint is being progressed. It is not helpful if repeated correspondence is sent (either by letter, phone, email or text) as it could delay the outcome being reached.
- 2.6 Whenever possible, the Executive Headteacher or Chair of Governors will discuss any concerns with the complainant informally before applying an 'unreasonable' marking.
- 2.7 If the behaviour continues the headteacher will write to the complainant explaining that their behaviour is unreasonable and asking them to change it. For complainants who excessively contact the school causing a significant level of disruption, we may specify methods of communication and limit the number of contacts in a communication plan. This will usually be reviewed after six months.

- 2.8 In response to any serious incident of aggression or violence, the concerns and actions taken will be put in writing immediately and the police informed. This may include banning an individual from our schools.

3 Barring from the School Premises

- 3.1 Although fulfilling a public function, schools are private places. The public has no automatic right of entry. We will therefore act to ensure our schools remain a safe place for pupils, staff and other members of our community.
- 3.2 If a parent's behaviour is a cause for concern, a school can ask him/her to leave school premises. In serious cases, the Executive Headteacher or the local authority can notify them in writing that their implied licence to be on school premises has been temporarily revoked subject to any representations that the parent may wish to make. Schools should always give the parent the opportunity to formally express their views on the decision to bar in writing.
- 3.3 The decision to bar should then be reviewed, taking into account any representations made by the parent, and either confirmed or lifted. If the decision is confirmed the parent should be notified in writing, explaining how long the bar will be in place.
- 3.4 Anyone wishing to complain about being barred can do so, by letter or email, to the Executive Headteacher or Chair of Governors. However, complaints about barring cannot be escalated to the Department for Education. Once the federation's Complaints Procedure has been completed, the only remaining avenue of appeal is through the Courts; independent legal advice must therefore be sought.