



# Complaints and Appeals Procedure (Exams)



This procedure is reviewed annually to ensure compliance with current regulations

|                            |                                  |
|----------------------------|----------------------------------|
| <b>Applicable to:</b>      | The Khalsa Academy Wolverhampton |
| <b>Approved By</b>         | The Governing Body               |
| <b>Next Review Date</b>    | February 2026                    |
| <b>Status</b>              | Non-Statutory                    |
| <b>Policy Lead/Author:</b> | MMI                              |

## Key staff involved in the complaints and appeals procedure

| Role             | Name(s)                                |
|------------------|----------------------------------------|
| Head of centre   | <b>Sukhdev Singh Shoker</b>            |
| Exams officer    | <b>Hardeep Singh</b>                   |
| Senior leader(s) | <b>MMI</b><br><b>JHE</b><br><b>VPU</b> |
| ALS lead/SENCo   | <b>AEV</b>                             |

## Purpose of the procedure

- This procedure is reviewed and updated annually to ensure that the complaints and appeals in relation to examinations at The Khalsa Academy Wolverhampton is managed in accordance with current requirements and regulations.
- Reference in this procedure to GR refers to the JCQ publication **General Regulations for Approved Centres**.
- This procedure confirms The Khalsa Academy Wolverhampton compliance with JCQ's **General Regulations for Approved Centres** (section 5.8) that the centre will draw to the attention of candidates and their parents/carers their written complaints and appeals procedure which will cover general complaints regarding the centre's delivery or administration of a qualification.

## Grounds for complaint

A candidate (or his/her/parent/carer) may make a complaint on the grounds below.

### Teaching and learning

- a. Quality of teaching and learning, for example
  - a. Non-subject specialist teacher without adequate training/subject matter expertise utilised on a long-term basis
  - b. Teacher lacking knowledge of new specification/incorrect core content studied/taught
  - c. Core content not adequately covered
  - d. Inadequate feedback for a candidate following assessment(s)
- b. Pre-release/advance material/set task issued by the awarding body not provided on time to an exam candidate
- c. The taking of an assessment, which contributes to the final grade of the qualification, not conducted according to the JCQ/awarding body instructions
- d. The marking of an internal assessment, which contributes to the final grade of the qualification, not undertaken according to the requirements of the awarding body (**complainant should refer to the centre's internal appeals procedure**)
- e. Centre fails to adhere to its **internal appeals procedure**
- f. Candidate not informed of his/her centre assessed marks prior to marks being submitted to the awarding body
- g. Candidate not informed of his/her centre assessed marks in sufficient time to request/appeal a review of marking prior to marks being submitted to the awarding body
- h. Candidate not given sufficient time to review materials to decide whether to request a review of centre assessed marks

### Access arrangements

- a. Candidate not assessed by the centre's appointed assessor
- b. Candidate not involved in decisions made regarding his/her access arrangements
- c. Candidate did not consent to personal data being shared electronically (by the non-acquisition of a signed *data protection notice/candidate data personal consent form*)
- d. Candidate not informed/adequately informed of the arrangements in place and the subjects or components of subjects where the arrangements would not apply
- e. Exam information not appropriately adapted for a disabled candidate to access it
- f. Adapted equipment put in place failed during exam/assessment
- g. Approved access arrangement(s) not put in place at the time of an exam/assessment
- h. Appropriate arrangements not put in place at the time of an exam/assessment as a consequence of a temporary injury or impairment

### Entries

- a. Failure to clearly explain a decision of early entry for a qualification to candidate (or parent/carer)
- b. Candidate not entered/entered late (incurring a late entry fee) for a required exam/assessment
- c. Candidate entered for a wrong exam/assessment
- d. Candidate entered for a wrong tier of entry

## Conducting examinations

- a. Failure to adequately brief candidate on exam timetable/exam regulations prior to exam/assessment taking place
- b. Room in which exam held did not provide candidate with appropriate conditions for taking the exam
- c. Inadequate invigilation in exam room
- d. Failure to conduct exam according to the regulations
- e. Online system failed during (on-screen) exam/assessment
- f. Disruption during exam/assessment
- g. Alleged, suspected or actual malpractice incident not investigated/reported
- h. Eligible application for special consideration for a candidate not submitted/not submitted to timescale
- i. Failure to inform/update candidate on the outcome of a special consideration application

## Results and Post-results

- a. Before exams, candidate not made aware of the arrangements for post-results services and the accessibility of senior members of centre staff after the publication of results
- b. Candidate not having access to a member of senior staff after the publication of results to discuss/make decision on the submission of a review/enquiry
- c. Candidate request for return of work after moderation and work not available/disposed of earlier than allowed in the regulations
- d. Candidate (or parent/carer) unhappy with a result (complainant to refer via exams officer to awarding body **post-results services**)
- e. Candidate (or parent/carer) unhappy with a centre decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal (complainant to refer to the centre's *internal appeals procedure*)
- f. Centre applied for the wrong post-results service/for the wrong script for a candidate
- g. Centre missed awarding body deadline to apply for a post-results service
- h. Centre applied for a post-results service for candidate without gaining required candidate consent/permission

## Complaints and Appeals Procedure

- ▶ If a candidate (or his/her parent/carer) has a general concern or complaint about the centre's delivery or administration of a qualification he/she is following, The Khalsa Academy Wolverhampton encourages him/her to try to resolve this informally in the first instance. This can be undertaken by contacting the centre and requesting a review of the complaint by the **Head of Centre**.
- ▶ The Head of Centre may delegate responsibility for responding to the complaint to a member of the senior leadership team.
- ▶ If a complaint fails to be resolved informally, the candidate (or his/her parent/carer) is then at liberty to make a formal complaint.
- ▶ All documentation relating to the submission of a formal complaint is available from the centre's **Concerns and Complaints Policy**.
- ▶ The centre will ensure that the current **Concerns and Complaints Policy** is published via the centre's website and printed copies are available on request.

# Complaints and Appeals form

|                     |  |
|---------------------|--|
| FOR CENTRE USE ONLY |  |
| Date received       |  |
| Reference No.       |  |

Please tick box to indicate the nature of your complaint/appeal

- ☐ Complaint/appeal against the centre’s delivery of a qualification
- ☐ Complaint/appeal against the centre’s administration of a qualification

|                                                      |  |
|------------------------------------------------------|--|
| Name of complainant/appellant                        |  |
| Candidate name if different to complainant/appellant |  |

Please state the grounds for your complaint/appeal below:

If your complaint is lengthy please write as bullet points; please keep to the point and include relevant detail such as dates, names etc. and provide any evidence you may have to support what you say

Your appeal should identify the centre’s failure to follow procedures as set out in the relevant policy, and/or issues in teaching and learning which have impacted the candidate

If necessary, continue on an additional page if this form is being completed electronically or overleaf if hard copy being completed

Detail any steps you have already taken to resolve the issue(s) and what you would consider to be a good resolution to the issue(s)

Complainant/appellant signature:

Date of signature:

This form must be completed in full - an incomplete form will be returned to the complainant/appellant

## Complaints and Appeals log

On receipt, all complaints/appeals are assigned a reference number and logged. Outcome and outcome date is also recorded.

[illegible]