



Reporting Low-Level Safeguarding Concerns Policy #SG11

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Statement of intent

Three Counties Academy Trust (TCAT) understands the importance of acknowledging, recording, and reporting **all** safeguarding concerns, regardless of their perceived severity. We understand that, while a concern may be low-level, that concern can escalate over time to become much more serious.

TCAT prides itself on creating a safe and prosperous environment for pupils, and our staff are expected to adhere to high standards of behaviour when it comes to professional conduct regarding pupils. TCAT has clear professional boundaries which all staff are made aware of and will adhere to. We are committed to ensuring that any safeguarding concerns are dealt with as soon as they arise and before they have had a chance to become more severe, to minimise the risk of harm posed to our pupils and other children.

1. Legal framework

This policy has due regard to all relevant legislation and statutory guidance including, but not limited to, the following:

- UK General Data Protection Regulation (UK GDPR)
- Data Protection Act 2018
- DfE (2021) 'Keeping children safe in education 2021'
- DfE (2018) 'Working Together to Safeguard Children'

This policy operates in conjunction with the following TCAT and school policies:

- Child Protection Policy
- Inappropriate Relationships with Pupils Policy
- Staff Code of Conduct
- Positive Handling Policy
- Allegations of Abuse Against Staff Policy
- Whistleblowing Policy
- Data Protection Policy

2. Definitions

For the purposes of this policy, a **low-level concern** is defined as any concern had about an adult's behaviour towards, or concerning, a child that does not meet the harms threshold (see below) or is otherwise not serious enough to consider a referral at the time of its reporting. Low-level concerns refer to behaviour on the part of a staff member towards pupils that is considered inappropriate in line with statutory safeguarding advice, the TCAT Staff Code of Conduct, and the appropriate and inappropriate behaviour subsection of this policy.

Low-level concerns are differentiated from concerns that can cause **harm**. The harms threshold is the point at which a concern is no longer low-level and constitutes a threat of harm to a child. This threshold is defined as accusations that an adult has:

- Behaved in a way that has harmed a child or may have harmed a child
- Possibly committed a criminal offence against, or related to, a child
- Behaved towards a child in a way that indicates they may pose a risk of harm to children
- Behaved in a way that indicates they may not be suitable to work with children, including behaviour that has happened outside of school

While low-level concerns are, by their nature, less serious than concerns which meet the harms threshold, TCAT understands that many serious safeguarding concerns, e.g., child sexual abuse, often begin with low-level concerns, e.g., being overly friendly with children.

TCAT will ensure that all staff are aware of the importance of recognising concerns before they escalate from low-level to serious, wherever possible.

3. Roles and responsibilities

The Governing Board is responsible for:

- Ensuring that TCAT and each school complies with its duties under child protection and safeguarding legislation
- Ensuring that policies, procedures, and training opportunities with regard to reporting safeguarding concerns are compliant and effective
- Guaranteeing that there is an effective Staff Code of Conduct that outlines behavioural expectations
- Ensuring that a suitably trained DSL has been appointed, alongside deputy DSL's where appropriate
- Ensuring that there are robust reporting arrangements, including inter-agency collaboration
- Ensuring that there are appropriate procedures in place to handle allegations and low-level concerns reported against members of staff

The Executive Headteacher/CEO and/or the Executive Leader for Safeguarding and Inclusion is responsible for:

- Being a point of contact for all staff when they have safeguarding concerns, whether serious or low-level
- In consultation with the DSL and the Headteacher/Head of School, assessing whether safeguarding concerns about staff members meet the threshold for being termed an allegation, or whether they are low-level concerns
- Implementing this policy, and all related policies, throughout TCAT, and ensuring that staff adhere to it at all times
- Safeguarding pupils' wellbeing and maintaining public trust in the teaching profession
- Ensuring that all staff have undertaken safeguarding training
- Ensuring that all staff have an ongoing awareness of low-level concerns and reporting procedures

The DSL is responsible for:

- Being a point of contact for all staff when they have safeguarding concerns, whether serious or low-level
- In consultation with the Executive Headteacher/CEO, Executive Leader for Safeguarding and Inclusion and the Headteacher/Head of School, assessing whether safeguarding concerns about staff members meet the threshold for being termed an allegation, or whether they are low-level concerns
- Following all procedures outlined in this policy for acting upon low-level concerns
- Liaising with the Executive Headteacher/CEO, Executive Leader for Safeguarding and Inclusion, Headteacher/Head of School, staff members, the Governing Board, and all relevant agencies to act upon concerns, where necessary

The Headteacher/Head of School is responsible for:

- Keeping detailed, accurate and secure records of all low-level concerns and any actions taken
- Sharing these concerns with the Executive Leader for Safeguarding and Inclusion and the DSL

Staff are responsible for:

- Adhering to all the relevant policies and procedures, including acting within the Staff Code of Conduct at all times
- Interacting with pupils in a way that is respectful and appropriate for their level of authority and has due regard to the power imbalance between pupils and staff members
- Understanding the importance of reporting low-level safeguarding concerns
- Reporting any and all safeguarding concerns they may have about pupils immediately
- Reporting any and all safeguarding concerns they may have about the behaviour of a member of staff immediately

4. Prevention amongst staff

Appropriate and inappropriate behaviour

TCAT will ensure that all staff members are aware of the standards of appropriate behaviour expected towards pupils.

Staff will ensure that they pay due regard to the fact that:

- They are in a unique position of trust, care, responsibility, authority, and influence in relation to pupils
- There is a significant power imbalance in the pupil-staff dynamic
- There are more stringent expectations on their behaviour with regard to pupils due to their position as a public professional

Staff will remain aware of the fact that all pupils under the age of 18, regardless of the phase and year group they are at within a TCAT school, are children by law – resultantly, staff will ensure that they do not assume maturity on behalf of a pupil and do not engage with pupils as they would with their own peers. Staff will be aware that where there is any doubt regarding whether the behaviour of another adult is appropriate, this should be reported to the DSL immediately.

Inappropriate behaviour can exist on a wide spectrum, from inadvertent or thoughtless behaviour to behaviour which is ultimately intended to enable abuse. Examples of inappropriate behaviour that would constitute a low-level concern that should be reported to the DSL include:

- **Being overly friendly with children** – this could include, but is not limited to, communicating with a child through personal social media or allowing inappropriate conversations or enquiries to occur with pupils, e.g., conversations that are about a staff member's personal life or are of a sexual nature
- **Having favourites** – this could include, but is not limited to, calling pupils by pet names or terms of endearment, or buying pupils gifts
- **Taking photographs of children on their personal mobile phones or devices**
- **Engaging with a child on a one-to-one basis in a secluded area or behind a closed door**
- **Using inappropriate, sexualised, intimidating or offensive language**

Staff will be aware that some of the above low-level concerns may meet the harms threshold depending on certain factors, e.g., the age or needs of the child or the content of exchanged messages, and that some of the above incidents may not be concerns in context, e.g., a pre-approved, one-to-one meeting with a child behind a closed door between the child and a school counsellor who has received all appropriate safety checks.

Staff will also be made aware that behaviour which raises concerns may not be intentionally inappropriate, and that this does not negate the need to report the behaviour. Staff members who engage in low-level inappropriate behaviour in relation to pupils inadvertently will be made aware and supported to correct this behaviour in line with the TCAT Staff Code of Conduct. The Executive Headteacher/CEO and the Executive Leader for Safeguarding and Inclusion will also evaluate whether additional training would be beneficial for any staff members exhibiting concerning behaviour, or the staff cohort as a whole where low-level concerning behaviour is seen more widely.

TCAT school culture

TCAT understands that spotting the early signs of harmful behaviour towards children can be difficult, and that many will be hesitant to report concerns they have about their colleagues' behaviour, particularly the behaviour of their superiors. Staff are encouraged to maintain an attitude that recognises that abuse can happen anywhere, in any setting, and that anyone can be a perpetrator regardless of their age, sex, level of authority, personality, etc.

We will ensure that all staff members have received training as part of their induction that outlines appropriate behaviour towards pupils for staff members. All staff will read, understand, and adhere to the appropriate and inappropriate behaviour subsection of this policy, as well as the TCAT Staff Code of Conduct and the Inappropriate Relationships with Pupils Policy.

Staff will address any questions they have regarding safeguarding to the DSL in their school. TCAT will work to foster an environment where personal and professional boundaries are clearly set and respected for all individuals in the TCAT community, e.g., pupils are not treated as friends and an appropriate professional distance is maintained by staff.

TCAT will ensure that all staff are sufficiently trained surrounding the reporting of safeguarding concerns as part of their induction, and that refresher training is conducted, as necessary. We will ensure that all staff understand how to recognise and report safeguarding concerns. Staff will be trained to identify concerning or problematic behaviour towards pupils that may indicate a safeguarding concern, and how to identify signs of abuse or harm in pupils.

Evaluating TCAT school culture following concerns

Additionally, we will ensure that appropriate consideration is given to TCAT's culture and whether or not it has enabled the inappropriate behaviour to occur. The Executive Headteacher/CEO and Executive Leader for Safeguarding and Inclusion will review whether any changes need to be made to relevant policies or training programmes in light of any evaluations of the TCAT culture, in order to achieve an open and transparent culture that deals with all concerns promptly and appropriately.

5. Reporting concerns

TCAT will promote a culture in which safeguarding pupils is the uppermost priority, beyond any perceived professional loyalties to colleagues, ensuring that staff are actively encouraged to report concerns, regardless of their relationship with the staff member.

Staff will report all safeguarding concerns they have to the DSL, who in turn will alert the Executive Headteacher/CEO, the Executive Leader for Safeguarding and Inclusion and Headteacher/Head of School immediately in line with the procedures laid out in the Child Protection and Safeguarding Policy. Staff members will report concerns without undue delay. Where the report concerns a specific incident, staff members will report their concerns no later than 24 hours after the incident where possible. Staff members will be aware that concerns are still worth reporting even if they do not seem serious.

Staff members will report their concerns to the DSL verbally, or by submitting a Low-level Concern Reporting Form. When submitting concerns, staff will take care to ensure that they observe the Confidentiality Policy and the Allegations of Abuse Against Staff Policy and protect the identity of all individuals to which the concern pertains as far as possible.

Staff members may request anonymity when reporting a concern, and TCAT will endeavour to respect this as far as possible. TCAT will not, however, promise anonymity to staff members who report concerns in case the situation arises where they must be named, e.g., where it is necessary for a fair disciplinary hearing. In line with the Whistleblowing Policy, staff will be protected from potential repercussions caused by reporting a genuine concern.

Where a low-level concern relates to the Executive Headteacher/CEO, it should be reported to the Chair of the Governing Board.

Where a low-level concern relates to a person employed by a supply agency or a contractor to work within TCAT, staff will also be required to report this to the DSL who will inform the Executive Headteacher/CEO and the Executive Leader for Safeguarding and Inclusion, who will, in turn, inform the employer of the subject of the concern.

All concerns reported to the DSL will be documented in line with the Records Management Policy.

6. Self-reporting

On occasion, a member of staff may feel as though they have acted in a way that:

- Could be misinterpreted
- Could appear compromising to others
- They realise, upon reflection, falls below the standards set out in the TCAT Staff Code of Conduct or violates the Inappropriate Relationships with Pupils Policy

We will ensure that an environment is maintained that encourages staff members to self-report if they feel as though they have acted inappropriately or in a way that could be construed as inappropriate upon reflection. The Executive Headteacher/CEO, Executive Leader for Safeguarding and Inclusion, Headteacher/Head of School and DSL will, to the best of their abilities, maintain a culture of approachability for staff members, and will be understanding and sensitive towards those who self-report.

Staff members who self-report will not be treated more favourably during any resulting investigations than staff members who were reported by someone else; however, their self-awareness and intentions will be taken into consideration.

7. Evaluating concerns

Where the Executive Headteacher/CEO is notified of a safeguarding concern, with the input of the Executive Leader for Safeguarding and Inclusion, DSL, and Headteacher/Head of School, they will use their professional judgement to determine if the concern is low-level or if it must be immediately escalated, e.g., where a child is at immediate risk of harm. When deciding if a concern is low-level, the Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will discuss the concern with the DSL and the Headteacher/Head of School and will seek advice from external agencies where there is any doubt about how seriously to take the concern. When seeking external advice, the Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will ensure they adhere to the Data Protection Policy, and the information sharing principles outlined in the Child Protection Policy, at all times.

To evaluate a concern, the Executive Headteacher/CEO, Executive Leader for Safeguarding and Inclusion and DSL will:

- Speak to the individual who raised the concern to determine the facts and obtain any relevant additional information
- Review the information and determine whether the behaviour displayed by the individual about whom the concern was reported is consistent with the TCAT Staff Code of Conduct and the law
- Determine whether the concern, when considered alongside any other low-level concerns previously made about the same individual, should be reclassified as an allegation, and dealt with alongside the Allegations of Abuse Against Staff Policy
- Consult with, and seek advice from, external agencies when in doubt over the course of action to follow
- Speak to the individual about whom the concern has been raised to inform them of the concern and to give them an opportunity to respond to it
- Ensure that accurate and detailed records are kept of all internal and external conversations regarding evaluating the concern, and any actions or decisions taken

8. Acting on concerns

Where the concern is unfounded

If it is discovered upon evaluation that the low-level concern refers to behaviour that was not considered to be in breach of the TCAT Staff Code of Conduct, the Inappropriate Relationships with Pupils Policy and the law, the Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will speak to the individual about whom the concern was made to discuss their behaviour, why and how the behaviour may have been misconstrued, and what they can do to avoid such misunderstandings in the future. The Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will also speak to the individual who shared the concern, outlining why the behaviour reported is consistent with school standards and the law.

The Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will take care to ensure that conversations with individuals who reported concerns that transpired to be unfounded do not deter that individual from reporting concerns in the future.

The Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will discuss the concern with the DSL to discern whether the behaviour, and the reporting of this behaviour, is indicative of ambiguity in TCAT's policies or procedures, or the training it offers to staff. Where such ambiguity is found, the DSL, Executive Leader for Safeguarding, and Inclusion and Executive Headteacher/CEO will work together to resolve this with input from other staff members, as necessary.

Where the concern is low-level

Where the Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion determines that a concern is low-level, TCAT will respond to this in a sensitive and proportionate manner. The following procedure will be followed:

- The DSL or Executive Leader for Safeguarding and Inclusion holds a meeting with the individual about whom the concern was reported, during which they will:
 - Talk to the individual in a non-accusatory and sympathetic manner
 - Inform them of how their behaviour was perceived by the individual who reported the concern (without naming them, where possible)
 - Clearly state what about their behaviour was inappropriate and problematic
 - Discuss the reasons for the behaviour with the individual
 - Inform the individual clearly what about their behaviour needs to change
 - Discuss any support that the individual may require in order to achieve the proper standards of behaviour
 - Allow the individual the opportunity to respond to the concern in their own words
- The DSL or Executive Leader for Safeguarding and Inclusion asks the individual to re-read the TCAT Staff Code of Conduct and/or the Inappropriate Relationships with Pupils Policy, depending on the nature of the concern
- The DSL, Executive Leader for Safeguarding, and Inclusion and the Executive Headteacher/CEO will consider whether the individual should receive guidance, supervision, or any further training
- Where considered appropriate in the circumstances, the Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will develop an action plan, with input from the individual, that outlines ongoing and transparent monitoring of the individual's behaviour and any other support measures implemented to ensure the staff member's behaviour improves
- Where it is necessary to undergo an investigation into the behaviour, this will be done discreetly, and information will only be disclosed to individuals on a need-to-know basis
- Where any pupil or other individual has been made to feel uncomfortable by the individual's behaviour, they will be offered pastoral support, where appropriate

The Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will ensure that all details of the low-level concern, including any resultant actions taken, are recorded, and securely stored in line with the Records Management Policy and the Data Protection Policy. The Executive Headteacher/CEO or Executive Leader for Safeguarding and Inclusion will ensure that these records are kept organised and up-to-date, and that it is easy

to refer back to them if any other concerns are reported about the same individual. Such records are to be filed with the Headteacher/Head of School securely so that a clear separation of duty is in place and the integrity of the system is paramount.

The specific approach to handling low-level concerns will be adapted on a case-by-case basis. It is unlikely that a low-level concern will result in disciplinary procedures; however, individuals may be given warnings in line with the Disciplinary Policy and Procedure where behaviour does not improve once it is brought to their attention. Where behaviour does not improve over a longer period of time, the concerns will be escalated and dealt with in line with the Allegations of Abuse Against Staff Policy.

Where the concern is serious

The Executive Headteacher/CEO and Executive Leader for Safeguarding and Inclusion may decide upon evaluation that a concern is more serious than the reporter originally thought, e.g., when viewed in conjunction with other evidence or other concerns made about the same individual. Where this decision is made, the concern will be escalated, and dealt with as an allegation. The Executive Headteacher/CEO will then follow the procedures laid out in the Allegations of Abuse Against Staff Policy.

9. Record keeping

Each TCAT school will retain all records of low-level concerns, including those that were found to be unfounded. The Executive Leader for Safeguarding and Inclusion will ensure that all records include the most accurate and up-to-date information and will store them in a locked folder within the Headteacher/Head of Schools Office. The Executive Leader for Safeguarding and Inclusion will ensure that all low-level concerns are stored together, in an organised and consistent manner, to ensure they can be easily reviewed and analysed where necessary.

Records will include:

- A clear and comprehensive summary of the concern
- Details of how the concern was followed up and resolved
- A note of any action taken, decisions reached, and the outcome
- The name of the individual sharing concerns – if the individual wishes to remain anonymous, this will be respected as far as reasonably possible

The DSL and the Executive Leader for Safeguarding and Inclusion will periodically review the recent low-level concerns made, at least each half-term, to ensure that they are being appropriately dealt with and to check for any concerning behaviour patterns amongst the staff cohort as a whole. The DSL and Executive Leader for Safeguarding and Inclusion will keep records of these reviews separate to the reports held within the Headteacher/Head of School's office.

Where any concerning patterns of behaviour have been identified with regard to a member of staff, the DSL will consult with the Executive Leader for Safeguarding and Inclusion or Executive Headteacher/CEO to decide on a course of action. Where a pattern of behaviour has become so concerning that it meets the harms threshold, this will be referred to the LADO as soon as practicable.

Records of low-level concerns will not be kept in the personnel file of the individuals to whom the concerns pertain, unless there have been multiple low-level concerns made about the same individual. Where a concern is thought to be serious and is processed as an allegation, records of this will be kept in staff personnel files. Where multiple low-level concerns have been made about the same individual, these will be kept together, and in chronological order.

Where an allegation is made about an individual who has previously been subject to such allegations, or where a low-level concern is reclassified as a serious concern after meeting the harms threshold, all records of low-level concerns about that individual will be moved to the staff personnel file and kept alongside records of the allegation.

The Executive Leader for Safeguarding and Inclusion will ensure that all records are kept in a manner that is consistent with the Data Protection Policy. Records will be confidential, and securely destroyed no earlier than 12 months after the staff member to whom the concerns pertain has left TCAT.

TCAT will only refer to concerns about a staff member in employment references where they have amounted to a substantiated safeguarding allegation, i.e., it has met the harms threshold and has been found to have basis through investigation, or where it is not exclusively a safeguarding issue and forms part of an issue that would normally be included in a reference, e.g., misconduct or poor performance. Low-level safeguarding concerns will not be included in a reference, unless they have comprised a pattern of behaviour that has met the harms threshold.

Signed by:

_____	Executive Headteacher/CEO	Date: _____
_____	Chair of Governing Board	Date: _____



Low-level Concern Reporting Form

Thank you for reporting your concerns to the safeguarding team; we are grateful to you for taking the safety and welfare of our pupils seriously. Please fill in the below form, including as much detail as you can, and return it directly to the DSL or the Executive Leader for Safeguarding and Inclusion or in the case of the concern relating to the DSL return to the Executive Leader for Safeguarding and Inclusion or Executive Headteacher/CEO. Please refrain from discussing this concern with anyone other than the Executive Headteacher/CEO, Executive Leader for Safeguarding and Inclusion or DSL until the matter has been dealt with. We ask that you keep all details, including the name staff member to whom the concern pertains, confidential.

Your details	
Name (optional)	
Role	
Date and time of completing this form	
Details of individual whom the concern is about	
Name	
Role	
Relationship to the individual reporting the concern, e.g., manager, colleague	
Details of concern	
<p>Please include as much detail as possible. Think about the following: What behaviour and/or incident are you reporting? What exactly happened? Why does the behaviour and/or incident worry you? Why do you believe the behaviour and/or incident is not consistent with our Staff Code of Conduct?</p>	
Details of any children or young people involved	

Name(s)		
Do you believe there is a risk of harm to the above children or young people, either now or in the future, as a result of the individual's behaviour? Explain your answer.		
Next steps		
What would you like to see happen in response to your concern?		
Are you willing to meet with the Executive Headteacher, Executive Leader for Safeguarding and Inclusion and DSL to discuss your concern? Please circle as appropriate.	Yes	No
Please state any other information that you believe is relevant to the processing of this concern.		
Signature		
For use by safeguarding team upon receipt of concern		
Date and time concern received		
Signature of Executive Leader for Safeguarding and Inclusion, DSL, or deputy DSL		
Actions to be taken, e.g., no action, investigation, reclassification as allegation meeting the harms threshold.		

