



Twyford
C of E
Academies Trust

Document Title	Disciplinary Procedure
Committee Responsible for Policy	Resources Committee
Review Frequency	Every 3 years
Last Reviewed	March 2026
Next Review Due	March 2029
Policy Author	Director of Finance & Operations

Assessment of the Impact of a Policy on Equality & Diversity

Policy: Disciplinary Procedure			
Impact assessed by: R Lane		Date: 3/3/2026	
<p>1. What is the potential for this policy impacting a person or group with a protected characteristic differently (favourably or unfavourably) from everyone else?</p> <p>Staff from protected groups could receive harsher treatment under disciplinary rules than staff in general.</p>			
<p>2. How would this be evidenced?</p> <p>Through grievances or reviews of disciplinary cases.</p>			
3. What is the impact of the policy and latest changes on people with protected characteristics?			
Protected Characteristic	Impact before change*	Impact after change*	Comments
Age	Neutral	Neutral	
Disability	Neutral	Neutral	
Gender Reassignment	Neutral	Neutral	
Marriage and civil partnership	Neutral	Neutral	
Pregnancy and maternity	Neutral	Neutral	
Race	Neutral	Neutral	
Religion or belief	Neutral	Neutral	
Sex	Neutral	Neutral	
Sexual orientation	Neutral	Neutral	
* Positive/Negative/Neutral.			
<p>4. Policies are required to reduce or eliminate inequality and disadvantage and promote diversity. Does this assessment indicate that the Policy and latest changes pass or fail this test?</p> <p>Pass</p>			

Disciplinary Procedure

1. Introduction

1.1. This document is based, with permission, on a model document published by the London Diocesan Board for Schools who have asserted their rights under copyright law.

1.2. Attached to the document are a statement of disciplinary rules including examples of misconduct and model letters to be used when applying this procedure.

2. Policy Statement

2.1. This procedure is intended to ensure that employees are treated fairly and consistently. It should be easily accessible so that employees are aware of the process that will be followed in the event that they fail to achieve and maintain the standards of conduct and performance expected by the Director of the Trust. In some cases, the school management reserves the right to omit or to modify any part of the policy depending on the issue in hand. This would be in consultation with the employee and their representative.

2.2. Nothing in this procedure will inhibit the Executive Headteacher, Associate Headteacher, or line manager from discussing with employees as to their conduct or performance and providing advice informally and without recourse to formal disciplinary procedures. The procedure does not apply to:

- Any employee serving a period of probationary service
- Any employee employed at the school through an agency or any company providing services to the school.

The school management reserves the right to dispense with this procedure in whole or in part for all employees with less than one year's service.

2.3. This policy does not form part of any employee's contract of employment. The policy will be reviewed every three years and may be amended from time to time.

2.4. References to Executive Headteacher in this document shall include the CEO. References to Associate Headteacher shall include school Headteacher. Specific duties of the Executive Headteacher or an Associate Headteacher may be delegated to another appropriate senior manager with the approval of the Board of Directors.

3. Equal Opportunities

This Disciplinary procedure will always be applied fairly and in accordance with the Equality Act 2010.

4. Data Protection

During the disciplinary process, all parties, including witnesses, must refrain from discussing the details of the case with other colleagues unless they are supporting them as a companion or trade union representative during the resolution process.

The policy will be implemented in adherence to GDPR regulations in relation to the distribution, sharing and storage of information pertaining to any individuals involved in this procedure. Notes and records of matters dealt with under this policy should be handled on a confidential basis and stored securely. The covert recording of informal or formal meetings, e.g. by use of a mobile phone or any other recording device, will not be allowed by any parties.

5. Criminal allegations

- 5.1. Where an employee's conduct is the subject of a criminal investigation, charge or conviction, the facts will be investigated before deciding whether to take formal disciplinary action.
- 5.2. The school management will not usually wait for the outcome of any prosecution before deciding what action, if any, to take. Where an employee is unable or has been advised not to attend a disciplinary hearing or say anything about a pending criminal matter, a decision may have to be taken based on the available evidence.
- 5.3. A criminal investigation, charge or conviction relating to conduct outside work will be treated as a disciplinary matter if it is considered relevant to the school.

6. Suspension

- 6.1. Where an allegation could potentially constitute gross misconduct it may be necessary to suspend the employee from work. The suspension will be no longer than is necessary to investigate the allegations and the arrangements will be confirmed in writing (within 2 working days of the act of suspension). The Executive Headteacher would normally inform an employee that the decision has been taken to suspend them from work. While suspended, the employee should not visit school premises, or contact any pupils, parents, contractors or staff unless authorised to do so by the Executive Headteacher. Employees should be encouraged to contact their trade union, professional association or the Employee Assistance Programme for support.
- 6.2. Suspension of this kind is a neutral act and does not imply that any decision has already been made about the allegations. Employees continue to receive their full salary and benefits during the period of suspension.
- 6.3. Cases involving allegations of a child protection nature will normally lead to the suspension of an employee. In these cases reference should be made to the Trust's Policy on Dealing with Allegations Against Staff.

7. Investigation

- 7.1. The Executive Headteacher will undertake, or arrange for, a full investigation of matters relating to an instance of misconduct or a complaint relating to an employee by appointing an investigating officer. This should be carried out as soon as possible after allegations have been made. If it is not appropriate for the Executive Headteacher or to undertake or arrange the investigation, the Chair of Directors must appoint someone else to fulfil this function. This may be another Director or an independent person, or the Chair may undertake it him/herself.
- 7.2. The employee will normally be informed of the nature of the allegation and that an investigation is taking place. If the employee is interviewed during the course of the investigation, s/he must be informed that s/he may be accompanied by a companion who is either a trade union representative or work colleague. When workers are choosing a companion, it should be borne in mind that it would not be reasonable to insist on being accompanied by a colleague whose presence would prejudice the hearing or who might have a conflict of interest. The request to be accompanied does not have to be in writing.
- 7.3. The aim of the investigation is to establish the facts of the case and the people affected by the problem. Any witnesses to alleged offences should be asked to make written statements and to sign and date their statements. The Executive Headteacher should sign and date the statements as evidence of receipt

The employee should be invited to identify any persons who may have information relevant to the investigation.

- 7.4. The Executive Headteacher should include in her/his report of the investigation:

- a list of names of people spoken with during the investigation, giving dates and times;
- a list of written, signed and dated statements attached;
- a chronological summary of incident(s) giving rise to the investigation and the stages of the investigation;
- the conclusions drawn from the investigation;
- a decision on what step to take next, for example:
 - to take no further action;
 - to refer the matter to a Disciplinary Panel of governors.

7.5. Documents relating to an investigation must be retained on file, together with a written record of the outcome of the investigation for the same length of time as any subsequent warning on the file.

7.6. If, during the formal investigation, pupils are involved, and especially young pupils, are involved, they can be asked to make a verbal statement, which will then be written up and witnessed on their behalf. The Executive Headteacher should always seek permission from the parent(s) to take a formal statement from their child and invite them to be present, or ask whether they are content that a 'responsible adult' is present. Parents may be contacted by phone as in most cases an early statement is desirable. It will very rarely be necessary or desirable for young pupils to attend a hearing, but in certain cases it may be appropriate for secondary age pupils to do so.

7.7. If an allegation relates to physical or sexual abuse of a child, it is essential that the person investigating contacts the LA's Child Protection Officer before taking any further action to discuss how to proceed. The outcome of the discussion may be that an investigation by the Child Protection Team is appropriate, in which case any investigation by the school must be held in abeyance. In cases of this nature, it may be appropriate to suspend the member of staff until the outcome of the LA investigation is known. Reference should be made to the Trust's Policy on Dealing with Allegations Against Staff.

8. Disciplinary Principles

8.1. No disciplinary sanction will be imposed against an employee until the matter has been investigated, and until the employee has been advised of the nature and details of the allegation against them and given the opportunity to state their case in person at a disciplinary meeting or hearing, before a decision is reached. The employee has the right to be accompanied by a work colleague or a trade union representative in any meeting that may lead to a sanction.

8.2. At least 10 working days' notice of a hearing will be given, which will include the nature and details of the allegations in writing. This notice will inform the employee:

- Of the stage of the procedure under which the hearing is being convened; and
- Of the employees' right to be accompanied by a trade union representative or work colleague;
- Of the names of those who will be present at the hearing and their roles;
- Of the matters to be considered together with all relevant supporting documentation available at that time and the names of any witnesses to be called;
- Of their right to produce witnesses and relevant documentation at the hearing, and that the names of any witnesses and copies of any documentary evidence should be provided not less than three working days before the hearing to enable due consideration to be given to them;
- That a failure to attend without prior notice of a valid and acceptable reason, may itself constitute a disciplinary offence which may lead to the hearing taking place in their absence, and that one postponement will be permitted if there is a valid and acceptable reason before a hearing takes place, with or without the presence of the employee and their representative.

A copy of the disciplinary procedure will be enclosed along with notification of the maximum sanction that could be imposed as a result of the hearing.

8.3. A hearing is conducted by the Executive Headteacher or a Staffing Procedures Committee, depending on the seriousness of the allegation. In both cases advice should be sought from the HR Manager and legal advisors, as required. All appeals are heard by a Staffing Appeals Committee.

At the initial hearing, the employee's representative may make representations to the Executive Headteacher/Committee and ask questions, but should not answer questions on behalf of the employee.

8.4. If the employee chooses a representative who is seen as unsuitable, Trust management may ask that the employee chooses someone else, for example:

- If the representative has a conflict of interest or may prejudice the meeting; or
- If the chosen representative is unavailable at the time a meeting is scheduled and will not be available for more than five working days afterwards.

8.5. Confidential minutes will be taken during the hearing by a clerk, and subsequently will be written up and copied to the employee. The discussion amongst the panel regarding the outcome will not be minuted.

A deadline for the employee to review and confirm the accuracy of the minutes will be given which will usually be within five working days of receipt. If the notes are not agreed as an accurate record, an annotated version should be provided. If the Headteacher/ Executive Headteacher or Chair of the Panel agrees the amended version is accurate, the changes will be agreed. If they do not agree, then both versions will be kept on record.

Where the hearing takes place online it will be recorded with the agreement of all those present and transcribed by the clerk. The final notes of the meeting will be made available to the employee on receipt of a letter requesting an appeal. If a recording of the meeting was made then this will be available for 6 months on request.

8.6. The employee will be informed in writing of the decision of the Executive Headteacher/Committee and the reasons for that decision within five working days of the outcome of the hearing. The employee may also be offered the option to wait for a decision on the day and have it conveyed to them directly. A letter will then still be sent to them within five working days.

8.7. An employee will not normally be dismissed for a first breach of discipline except in cases of gross misconduct (when the sanction may be dismissal without notice) or where the relationship with the school has irreconcilably broken down. Examples of offences representing gross misconduct are attached in Appendix A.

8.8. An employee will have the right to appeal against any disciplinary warning or dismissal to a Staffing Appeals Committee and must state their grounds of appeal.

Grounds for appeal should be based on the following:

- Procedural errors where there is evidence the process was incorrectly followed;
- New evidence has come to light that may change the outcome of the original decision;
- Fairness and Reasonableness of the outcome.

Any such appeal must be requested within ten working days of the notification of the disciplinary sanction.

Where an employee is also an accredited trade union representative, the disciplinary hearing shall not be convened until the complaint has been discussed between Human Resources and the Branch Secretary or a Full-Time Official of that trade union.

8.9. Warnings given to employees and placed on their files shall be disregarded after the following periods have elapsed without further misconduct taking place:

- Informal warning – discussion notes kept on file for 6 months
- First written warning – 12 months
- Final written warning – 24 months
- Dismissal.

9. Disciplinary stages

9.1. Stage 1- Informal Stage

Cases of alleged minor misconduct may result in a discussion to establish the actions required to improve. A note of this discussion will be placed on the employee's file for six months and it should be made clear that this is the first stage of the disciplinary procedure. An informal warning may be issued by the Executive Headteacher.

9.2. Stage 2 First written warning

This will be issued when the employee has failed to improve or where there is a case of more serious alleged misconduct. The warning will remain on file for 12 months. A first written warning may be issued by the Executive Headteacher or Chair of the Staffing Procedures Committee.

9.3. Stage 3 Final written warning

This will be issued in the case of further complaints about the employee's conduct or serious or gross misconduct. The warning will remain on file for 24 months. A final written warning may be issued by the Executive Headteacher or Chair of the Staffing Procedures Committee.

9.4. Stage 4 Dismissal

Further complaints about the employee's conduct or alleged gross misconduct may result in dismissal. The panel will decide whether or not notice will be paid. Dismissal will be decided by a Staffing Procedures Committee and issued by the Committee Chair.

10. Alternatives to dismissal

10.1. In some cases the Executive Headteacher/Staffing Procedures Committee may, at their discretion, consider alternatives to dismissal which will usually be accompanied by a final written warning. Examples include:

- Demotion;
- Transfer to a different position;
- Loss of seniority;
- Reduction in pay;
- Loss of future pay increment;
- Loss of overtime.

11. Appeal Hearing

11.1. An employee may appeal any disciplinary sanction issued in accordance with this policy. To do so the employee must set out in full in writing their grounds for the appeal within ten working days of the date on the outcome letter.

The Grounds for appeal should be based on the following:

- Procedural errors where there is evidence the process was incorrectly followed
- New evidence has come to light that may change the outcome of the original decision

- Fairness and Reasonableness of the outcome

11.2. If the employee is appealing against dismissal, the date on which their dismissal takes effect will not be delayed pending the outcome of the appeal. However, if the appeal is successful they will be reinstated with no loss or interruption to pay.

11.3. If the employee raises any new matters in their appeal, the Staffing Appeals Committee may need to carry out further investigation. If any new information comes to light, the Staffing Appeals Committee will provide a summary including, where appropriate, copies of additional relevant documents and witness statements. The employee will have a reasonable opportunity to consider this information before the hearing.

11.4. The appeal hearing will review the fairness of the original decision in the light of the procedure that was followed and any new information that may have come to light.

11.5. The Staffing Appeals Committee will be constituted in accordance with the Terms of Reference..

11.6. The employee will be given at least ten working days' notice of the appeal hearing and will have the right to be accompanied by a work colleague or trade union representative.

The hearing will be minuted by a clerk who will distribute all the relevant documents.

11.7. The appeal panel may:

- Accept the appeal and remove the penalty imposed
- Accept the appeal and impose a lesser penalty
- Reject the appeal and confirm the penalty imposed
- Recommend a re-hearing.

11.8. The employee will be notified of the outcome of the appeal in writing, with reasons, without delay, and within five working days.

11.9. Where an employee submits a grievance while any part of the disciplinary process is underway, both procedures will operate concurrently. If the grievance is connected with the conduct of the disciplinary matter itself, this should be heard as part of the appeals process.

Appendix A: Disciplinary Rules

1. Policy statement

1.1. These Disciplinary Rules should be read in conjunction with the Disciplinary Procedure and Staff Code of Conduct. The aim of the Disciplinary Rules and Disciplinary Procedure is to set out the standards of conduct expected of all staff and to provide a framework within which managers can work with staff to maintain those standards and encourage improvement where necessary.

1.2. It is our policy to ensure that any disciplinary matter is dealt with fairly and in accordance with the Disciplinary Procedure.

1.3. All staff should be clear as to their responsibilities and the standards of conduct expected via easily accessible policies and guidance from management. If a member of staff is in any doubt, it is their responsibility to speak to their Executive Headteacher, Associate Headteacher, or line manager to gain clarification.

2. Rules of Conduct

2.1. While working for the Twyford Church of England Academies Trust, staff should at all times maintain professional and responsible standards of conduct. In particular, they should:

- Observe the terms and conditions of their contract, particularly with regard to:
 - Hours of work;
 - Confidentiality; and
 - Compliance with professional standards.
- Observe all school policies, procedures and regulations, which are available [on](#) the Trust's intranet, Copia.
- Take reasonable care in regard to the health and safety of colleagues and third parties and comply with the school's Child Protection and Health & Safety Policies.
- Comply with all reasonable instructions given by managers; and
- Act at all times in good faith and in the best interests of the school, its pupils, and staff.
- Failure to maintain satisfactory standards of conduct may result in action being taken under the Trust's Disciplinary Procedure.

3. Misconduct

3.1. The following are examples of matters that will normally be regarded as misconduct and will be dealt with under the school's Disciplinary Procedure:

- Failure to discharge, without sufficient cause, the obligations which statute, the terms and conditions of employment and the contract of employment place on the employee;
- Failure to remain at the place of work during normal working hours without permission;
- Frequent failure to attend work punctually;
- Failure to notify the school as soon as is practicable when absence is due to sickness;
- Making unauthorised private phone calls or sending personal mail at the school's expense, or any unauthorised use of the internet;
- Failure to follow reasonable management instructions;
- Failure to properly supervise or exercise proper control over pupils;
- Abusive language or behaviour that is directed at staff, parents, pupils, or members of the public;
- Victimization and intimidation of other employees in the course of duty;
- Unlawful discrimination against other employees, pupils, or members of the public in the course of duty;

- Dishonesty;
- A wilful attempt to mislead.

This list is intended as a guide and is not exhaustive, and in severe cases these items could be considered to be gross misconduct.

4. Gross misconduct

4.1. Gross misconduct is a serious breach of contract and includes misconduct which is likely to prejudice the reputation of the school or irreparably damage the working relationship and trust between the employee and the school. Gross misconduct will normally lead to dismissal or a final written warning. Dismissal may be with or without notice or pay in lieu of notice (summary dismissal).

The following list provides examples of gross misconduct; it is for guidance only and is not exhaustive:

- Theft, fraud, deliberate falsification of records;
- Fighting, assault on another person;
- Deliberate damage to school property;
- Violent and/or intimidating conduct;
- Serious incapability through alcohol or being under the influence of drugs;
- Serious breach of confidence;
- Bringing shame on the employer or actions likely to bring the school into disrepute;
- Serious breach of health and safety rules;
- Child abuse;
- Serious misuse of the internet;
- Serious negligence that causes unacceptable loss, damage or injury;
- Serious act of insubordination;
- Serious acts of unlawful discrimination against other employees, pupils or members of the public in the course of duty;
- Unauthorised entry to computer records;
- Continued and repeated offences;
- Breaches of Safeguarding and Child Protection and policies ;
- Abusive language or behaviour that is directed at staff, parents, pupils, or members of the public;
- Victimization and intimidation of other employees in the course of duty;
- Unlawful discrimination against other employees, pupils or members of the public in the course of duty;
- Dishonesty;
- A wilful attempt to mislead.

Appendix B: Model Letters

1. Model Suspension Letter

STRICTLY PRIVATE & CONFIDENTIAL

NAME
ADDRESS
POST CODE

Date

Dear

Re: Notification Of Suspension

I write to inform you that you have been suspended from your work at the school with effect from This suspension will continue until further notice to enable an investigation to take place into the following allegations made against you / the incident(s) in which you were involved on the in which you [OUTLINE DETAILS HERE]. These are a breach of the school's [ENTER POLICY DETAILS HERE]

I shall keep you informed of the progress of the investigations and notify you once they are completed and invite you to a meeting where you will be informed of the next steps. You may be accompanied by a companion at that meeting.

Your suspension is a neutral act and does not imply that any decisions have been taken regarding the allegations made against you. However we advise you to arrange for a member of your professional association to support you during the suspension period. You will receive your full salary and benefits throughout your suspension.

During your suspension, you are not permitted under any circumstances to;

- visit the school without the prior permission of the Executive Headteacher.
- Contact any pupils, parents, staff or contractors without the prior permission of the Executive Headteacher.

As part of your suspension you must relinquish to school leaders all school keys and any assets belonging to the school. If any of your personal possessions still remain on the site, you should telephone the Executive Headteacher in order to make a convenient appointment to come into school to collect them.

As acknowledgement of receipt of this letter, please sign and return to me the enclosed copy. Failure to return the copy does not invalidate any possible subsequent disciplinary procedures.

Yours sincerely

(typed name)
Executive Headteacher

2. Investigation Meeting Model Letter

STRICTLY PRIVATE & CONFIDENTIAL

NAME
ADDRESS
POST CODE

Date

Dear

Re: Disciplinary Investigation Meeting

I am writing to inform you that I am conducting an investigation under the Schools Disciplinary Procedure (enclosed) into the allegations made / incident that took place on [DATE HERE] in which you;

[LIST DETAILS HERE]

and that in carrying out the above actions you breached the following school policies; [ENTER POLICIES HERE].

I propose that we meet at [TIME] on [DATE] at [SCHOOL NAME]. You may be accompanied by a companion, who may be either a colleague or trade union representative. I shall be accompanied by [ENTER DETAILS HERE]. [NAME], [ROLE], will be present to take the notes of our meeting.

If there is any aspect of this letter, or of the disciplinary procedure, to the extent that it applies to you that you do not understand, please contact me.

As acknowledgement of receipt of this letter, please sign and return to me the enclosed copy. Failure to return the copy does not invalidate any possible subsequent disciplinary procedures.

I look forward to seeing you on the [DATE] at [TIME].

Yours sincerely

(typed name)
Executive Headteacher

3. FORMAL DISCIPLINARY HEARING

STRICTLY PRIVATE & CONFIDENTIAL

NAME
ADDRESS
POST CODE

Date

Dear

Re: Formal Disciplinary Hearing

I am writing to advise you that as a result of the investigation into allegations that / incident that took place on [DETAILS/DATE HERE] in which you (SET OUT SUMMARY DETAILS OF THE ALLEGED MISCONDUCT), you are required to attend a formal Stage [2,3,4] disciplinary hearing, the outcome of which may be a sanction up to and including [ENTER DETAILS ACCORDING TO STAGE OF HEARING].

The hearing will take place on [DATE – AT LEAST 10 DAYS FROM THE DATE OF THE LETTER] at [PLACE] at [TIME]. It will be conducted by me [IF EXECUTIVE/HEADTEACHER] /a Staffing Procedures Committee [DELTE AS APPROPRIATE]. The members of the Committee are [NAMES OF GOVERNORS HERE], and they shall be supported by [NAME/DETAILS/ROLE HERE].

You have the right to be accompanied by a companion, who may be another employee, or a representative of your trade union. If your representative is unable to attend the hearing at the time given above, you may propose another date and time for the meeting to take place. Any alternative date must be on or before (date of the fifth working day after the (PROPOSED MEETING DATE)). Only one postponement will be permitted. Please note that failure to attend without notice or prior reason may constitute a disciplinary offence, and the hearing may take place with both yourself and your representative being absence.

You also have the right to produce written statements and to invite witnesses to give evidence in support of your case. You should ensure that copies of any written statements upon which you wish to rely at the hearing are given to me 3 days before the hearing, together with the names of any witnesses that will be attending on your behalf, and the name of your representative.

I (THE HEADTEACHER/INVESTIGATING OFFICER) will be calling the following witnesses to the hearing (NAMES HERE). I shall be accompanied by [NAME/DETAILS/ROLE HERE]. The records of the meeting shall be taken by [NAME/DETAILS/ROLE HERE].

I enclose an indexed bundle of statements and other documents on which I (THE HEADTEACHER/INVESTIGATING OFFICER) will be relying at the hearing, along with a copy of the Trust's Disciplinary Procedure.

If there is any aspect of this letter, or of the disciplinary procedure, to the extent that it applies to you that you do not understand, please contact me.

As acknowledgement of receipt of this letter, please sign and return to me the enclosed copy. Failure to return the copy does not invalidate any possible subsequent disciplinary procedures.

Yours sincerely

(typed name)
Executive Headteacher

4. FORMAL DISCIPLINARY OUTCOME LETTER

STRICTLY PRIVATE & CONFIDENTIAL

NAME
ADDRESS
POST CODE

Date

Dear

Re: Outcome of the Stage [2,3,4] Disciplinary Hearing on [DATE HERE]

Following the formal disciplinary hearing held on [DATE HERE] I am now writing to you to formally inform you of the outcome of the hearing. I / The Staffing Procedures Committee [DELETE AS APPROPRIATE] have determined that the allegations made against you, namely that [DETAILS OF ALLEGATIONS HERE] have/not been substantiated.
[ENTER COMMITTEE FINDINGS HERE]

As a consequence of the Committee's findings they have reached the decision that the above constitute gross/misconduct [DELETE AS APPROPRIATE], and the Committee decided that you [EITHER should receive a first written warning/final written warning [DELETE AS APPROPRIATE] / OR be dismissed and your employment terminated with effect from [ENTER DATE HERE]/with immediate effect with your notice paid to you in full.

You have the right to appeal against this decision. If you wish to appeal you must submit the grounds of your appeal to the Clerk to Governors by the [ENTER DATE HERE – 10 school days from the date of the letter] by either email to [ENTER ADDRESS HERE] or by post to [ENTER DETAILS HERE]. Any appeal will be heard by the Staffing Appeals Committee.

If there is any aspect of this letter, or of the disciplinary procedure, to the extent that it applies to you that you do not understand, please contact me.

As acknowledgement of receipt of this letter, please sign and return to me the enclosed copy. Failure to return the copy does not invalidate any possible subsequent disciplinary procedures.

Yours sincerely

(typed name)

Executive Headteacher/ Clerk to the Trust
[DELETE AS APPROPRIATE]

5. FORMAL DISCIPLINARY APPEAL HEARING

STRICTLY PRIVATE & CONFIDENTIAL

NAME
ADDRESS
POST CODE

Date

Dear

Re: Formal Disciplinary Hearing

I am writing in response to your communication dated [ENTER DATE HERE] stating that you wish to appeal the decision of the disciplinary hearing that took place on the [ENTER DATE HERE] and the grounds for that appeal. I can now advise you that the hearing will take place on [DATE – AT LEAST 10 DAYS FROM THE DATE OF THE LETTER] at [PLACE] at [TIME]. It will be conducted by me [IF EXECUTIVE/HEADTEACHER] /the Staffing Appeals Committee [DELTE AS APPROPRIATE]. The members of the Committee are [NAMES OF GOVERNORS HERE], and they shall be supported by [NAME/DETAILS/ROLE HERE].

You have the right to be accompanied by a companion, who may be another employee, or a representative of your trade union. If your representative is unable to attend the hearing at the time given above, you may propose another date and time for the meeting to take place. Any alternative date must be on or before (date of the fifth working day after the (PROPOSED MEETING DATE)). Only one postponement will be permitted.

You also have the right to produce written statements and to invite witnesses to give evidence in support of your appeal. You should ensure that copies of any written statements upon which you wish to rely at the hearing are given to me 5 days before the hearing, together with the names of any witnesses that will be attending on your behalf, and the name of your representative.

The Executive Headteacher/Chair of the Staffing Procedures Committee will be in attendance at the meeting, supported by [NAME/DETAILS/ROLE HERE]. The records of the meeting shall be taken by myself.

An indexed bundle of statements and other documents for the hearing, along with a copy of the school's disciplinary procedure will be distributed 5 days before the hearing to give all parties time to review the appeal documentation.

If there is any aspect of this letter, or of the disciplinary procedure, to the extent that it applies to you that you do not understand, please contact me.

As acknowledgement of receipt of this letter, please sign and return to me the enclosed copy. Failure to return the copy does not invalidate any possible subsequent disciplinary procedures.

Yours sincerely

(typed name)
Clerk to the Trust

6. FORMAL DISCIPLINARY APPEAL OUTCOME LETTER

STRICTLY PRIVATE & CONFIDENTIAL

NAME
ADDRESS
POST CODE

Date

Dear

Re: Outcome of the Disciplinary Appeal Hearing on [DATE HERE]

Following the formal disciplinary appeal hearing held on [DATE HERE] I am now writing to you to formally inform you of the outcome of the hearing. The grounds of your appeal were that [DETAIL GROUNDS OF APPEAL HERE].

On the evidence presented to the Staffing Appeals Committee it was found that [ENTER COMMITTEE FINDINGS HERE].

As a consequence of the Committee's findings it was decided that [ALL THE FOLLOWING NEED TO BE ELABORATED ON]

Accept the appeal and remove the penalty imposed

Accept the appeal and impose a lesser penalty

Reject the appeal and confirm the penalty imposed

Recommend a re-hearing.

The decision of the Appeal Committee is final.

Yours sincerely

(typed name)

Chair of the Staffing Appeals Committee / Clerk to the Trust [DELETE AS APPROPRIATE]