

# COMPLAINTS PROCEDURE



Adopted:

Review date: July 2023

## Introduction

The William Hogarth School is dedicated to providing the best possible education and support for all its pupils. This means having a clear, fair, and efficient procedure for dealing with any complaints [from parents of registered pupils] to or against the school, so that any issues that arise can be dealt with as swiftly and effectively as possible.

This policy only fully applies to parents of registered pupils at the school. If complaints are raised by persons other than parents, they will be dealt with under stages 1 and 2 of the formal policy.

All school staff will be made aware of complaints procedures and expected to review this document regularly in order that they are familiar with our process of dealing with complaints and can be of the most assistance when an issue is brought to their attention.

This document explains that procedure, and the steps that it outlines should be referred to and followed by all pupils and their parents whenever an issue arises that causes them concern. If it becomes necessary to alter the time limits and deadlines set out within this procedure, you will be advised accordingly, given an explanation and provided with revised timescales.

**This document does not apply to complaints where there is another route available to make representations or appeal. This includes:**

- *pupil admissions*
- *pupil exclusions*
- *statutory assessments of special educational needs and education health and care plans*
- *disciplinary issues relating to members of staff*
- *matters likely to require a child protection investigation*
- *national curriculum content*
- *complaints about services provided by other providers who may use school premises or facilities*
- *school re-organisation proposals*
- *staff grievances*
- *staff conduct issues*

Each of these follows its own process of complaints and appeals.

If there is an allegation or concern about physical or sexual misconduct towards a child, or there is a belief that a child may be at risk of serious harm, the school may immediately refer the case to child protection and welfare services. If it is decided that there is cause for an official investigation, the decisions by these authorities will supersede those made by the school and outlined in this document. Where the complaint relates to a safeguarding referral made by a member of staff at the school, any consideration of that complaint by the school will be limited to a review of the reasonableness of the decision to make the referral in light of the evidence available to the member of staff at that time and in light of the school's safeguarding policies.

For more information on our school's provision for protecting our pupils, read our **child protection and safeguarding policy**, and the **allegations of abuse against staff** policy.

Concerns or complaints should be brought to the school's attention as soon as possible to enable a proper investigation to take place. Any matter raised more than 12 months after the incident being complained of (or, where a series of associated incidents have occurred, within 12 months of the last of these incidents) will not be considered unless the headteacher (or in their absence, the chair of governors) decides that there are exceptional circumstances relevant to the delay in bringing the complaint to the attention of the school.

Anonymous complaints will not be examined under this document.

## 1. When an issue or concern first arises

If you have a concern that you would like to take up with the school you should initially inform a member of staff either in person, over the telephone or in writing. You may then be invited to an informal meeting with the member of staff most appropriate for dealing with your concern.

You may wish to approach your child's class teacher first as they will be best placed to help you either directly or by identifying which other member of staff you should be speaking to.

We encourage parents to approach staff promptly as soon as any concerns arise – our aim being to resolve all issues with open dialogue and mutual understanding. We ask for parents to provide as much information as possible in advance so that it is easy to promptly address any concerns. Where possible, we ask that a written explanation of concerns be emailed to the staff member that is spoken to, so that it is easy to see (when complaints are taken further or referred to in the future) what the initial problem was.

**If your complaint is about a member of staff**, you should first raise this with the headteacher either in person or in writing, and a meeting can be arranged with the headteacher to discuss the issue at hand.

**If your complaint is about the headteacher**, you should raise your concern in writing with the chair of governors.

**If your complaint is about a governor**, you should raise your concern in writing with the clerk to the governing body.

***Contact details are listed at the end of this document to support you in addressing your complaint to the right person as quickly as possible.***

Any governor will refer complaints that are taken straight to them back to the appropriate member of staff unless one of the above exceptions applies.

### 1.2 Initial informal meeting

Once a concern has been raised you may be invited to attend an informal meeting with a member of staff or the headteacher/chair of governors to discuss your concerns.

You are welcome to bring a friend, partner or, in the case of a pupil who has raised a concern, a parent to this meeting. It may be appropriate for a pupil to attend the meeting if their parent has raised a concern, depending on the nature of the issue.

Staff have a responsibility to ensure that you understand any future points of action that have been agreed upon in this meeting and should make a record of what has been discussed, as well as any outcomes and a plan of action, if one has been agreed.

All staff will do their best to ensure that your concerns are dealt with appropriately and efficiently but if you cannot come to an agreement, or are dissatisfied with the outcome of your meeting, you can make a formal complaint in writing to the headteacher.

We believe that complaints need to be considered and resolved as quickly and efficiently as possible. Although there is no suggested timescale for resolution at this stage given the importance of dialogue through informal discussion it would be expected that most issues would be resolved within 15 school days.

## 2. Formal complaints

In order to ensure that complaints are processed efficiently and effectively, The William Hogarth School deals with formal complaints in three stages:

### **Stage 1**

If you do not feel that your concern has been dealt with as you would like, are unhappy with the outcome of your informal meeting or feel that the issue is serious enough that it warrants it, you can make a formal complaint in writing to the headteacher. If your complaint is about the headteacher you should go straight to Stage 2 of this procedure. Your written complaint should provide enough detail of the issues to allow the headteacher to investigate and respond to the complaint. You should also set out what you feel would resolve the complaint.

***You can access the school's standard complaints form at the end of this complaints policy.***

The headteacher should acknowledge your complaint in writing within 3 school days. They may already be aware of the situation. They will outline the procedure and a target date for providing a written response to the complaint, which will normally be within 10 school days of receipt.

The headteacher may call you in for a meeting to discuss the issue outcome, possible solutions, or to explain what has or will happen as a result of your complaint. The headteacher will keep a record of all interactions with you and other staff, meetings and decisions made in reference to your complaint.

If the complaint is against a member of staff, the headteacher will talk to that employee. If it is an allegation of abuse, a formal investigation may be instigated by the school or external child welfare authorities to whom the school reports. Please refer to our **allegations of abuse against staff policy** for an outline of this procedure.

The headteacher will respond to you in writing outlining their response to your concern, and any action that has or will be taken. If they have decided not to take any further action on the issue, they will explain what they have decided, how they have reached this decision, and will outline your right to take the matter further and the steps to be taken.

### **Stage 2**

If, having spoken to the headteacher, you are dissatisfied with the outcome of your complaint or your complaint is about the headteacher, you may lodge your complaint with the chair of governors. Your complaint should be lodged within 10 school days of the outcome at Stage 1. If your complaint is not escalated within this timeframe, it will be deemed that the decision at Stage 1 is accepted, and the complaint will be closed (unless there are exceptional circumstances to explain the delay). The complaint must be in writing and it should explain your concern in sufficient detail and the steps that have led up to you taking this course of action. You should also set out the actions you feel would be necessary to resolve the complaint.

If the complaint is against a member of staff, that employee will be given the opportunity to write a response, which will be sent to the chair of governors within 10 school days of the complaint being lodged with them.

The chair of governors will usually respond to you in writing within 10 school days outlining their response to your concern, and any action that has or will be taken. If they have decided not to take any further action on the issue, they will explain what they have decided, how they have reached this decision, and will outline your right of appeal and how you can start your appeal.

### **3. Appeals**

#### ***Stage 3***

If you would like to lodge an appeal following the outcome of a formal complaint at stage 2, this will be taken to the appeals panel. You should write to the clerk to the governing body to exercise this right within 10 school days of the outcome at Stage 2. If no request for an appeals panel hearing is received within 10 school days, it will be deemed that the decision is accepted, and the complaint will be closed (unless there are exceptional circumstances to explain the delay).

If an appeals panel is requested, the clerk to governors will acknowledge your appeal and make the necessary arrangements and will usually convene the appeals panel within 20 school days from the acknowledgement being sent. Where it is not possible to find a mutually convenient date within that timescale, the school will take reasonable steps to agree a time and date mutually convenient to all parties. If, despite best efforts, it is not possible to find a mutually convenient date and time for a hearing within a reasonable timeframe, the clerk to governors may determine that the hearing proceeds because of written submissions from you and the school. The clerk will ensure that all parties to the appeal have access to the same documentation and set out a timetable to support the collation and circulation of documents. Any supporting documentation relevant to the complaint must be submitted to the appeals panel by both parties at least 5 days before the appeals panel hearing. The appeals panel is under no obligation to hear oral evidence from witnesses but may do so and/or may take written statements into account. The appeals panel will not normally accept recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded.

You are entitled to attend the panel hearing and to be accompanied to the hearing and should notify the clerk in advance if you attend to bring anyone.

#### **The appeals panel**

The appeals panel will be made up of between three to five members of the governing body.

No person can sit on the appeals panel if they have had any former knowledge or involvement in the case that is being dealt with at that time. The chair of the panel will be nominated from within the group of panel members. All panel members will be familiar with and have access to the complaints policy.

The appeals panel will not review any new complaints at this stage or consider evidence unrelated to the initial complaint. New complaints must be dealt with from Stage 1 of the procedure.

The panel will consider how the complainant can be made to feel most comfortable presenting to the panel, especially in the case of a young child having to present or explain information.

#### **Appeals procedure**

The Appeals Panel will determine the procedure to be followed to ensure that it is best placed to deal with the issues arising from the complaint. The procedure for an appeal is usually as follows:

1. The complainant and headteacher will enter the hearing together.
2. The chair will introduce the panel members and outline the process.

3. The complainant will explain the complaint.
4. The headteacher and panel will question the complainant.
5. The headteacher will explain the school's actions.
6. The complainant and panel will question the headteacher.
7. The complainant will sum up their complaint.
8. The headteacher will sum up the school's actions.
9. The chair will explain that both parties will hear from the panel within 5 working days.
10. Both parties will leave together while the panel decides.
11. The clerk will stay to assist the panel with its decision making.

***In some situations, the above procedure may be amended to allow the panel to hear from the school and the complainant separately. Where this is the case, this will be organised by the clerk to the governing body and agreed with all parties in advance.***

The clerk to governors will ensure that sufficient notes are taken to record an accurate reflection of the points considered and any decisions taken, or actions agreed. Electronic recordings of the hearing will not normally be permitted and, in any event, would require the consent of all those present.

The chair of the panel/clerk to governors will notify the complainant of the panel's decision in writing within 5 school days of the appeal hearing. The letter will set out the decision of the panel together with the reasons underpinning that decision. The letter may set out recommendations which will be made to the governing body.

**The appeals panel may:**

- dismiss all or part of the complaint
- uphold all or part of the complaint
- decide on the appropriate action to be taken to resolve the complaint
- evaluate all the evidence available and recommend changes to the school's systems or procedures as a preventative step against similar problems arising in the future.

The panel's decision is final. If you are unhappy with the outcome, you may wish to put your complaint to the Secretary of State. Complaints can be submitted online at <https://www.gov.uk/complain-about-school>

## **4. Vexatious/persistent complaints**

Whilst it is hoped that this document will reduce any dissatisfaction with the school, it is acknowledged that there may be rare occasions where a complainant continues to be dissatisfied with the school and the outcomes achieved under the complaints procedure.

Where a complainant attempts to re-open an issue which has already been dealt with under the complaints procedure, the chair of governors will contact them to inform them that the matter has already been dealt with and that either that stage of the policy has been exhausted or that the complaints procedure has been exhausted and the matter is considered closed. Where further correspondence is received on the same matter, this may be considered vexatious and the school will be under no obligation to respond to that correspondence.

If the complainant subsequently contacts the school again about the same issue, the school can choose not to respond. The normal circumstance in which the school will not respond is if:

- the school has taken every reasonable step to address the complainant's needs, and the school's position has been clearly set out in writing together with the complainant's options
- the complainant is contacting the school repeatedly but making substantially the same points each time
- the complainant refuses to follow the complaints procedures
- the school reasonably believes the aim of the contact is to cause disruption or inconvenience
- that the complainant acts or communicates in an inappropriate way towards school staff.

Once the school has decided that it is appropriate to stop responding, the complainant will be informed in writing.

The school will ensure when making this decision that complainants making any new complaint are heard, and that the school acts reasonably.

***Circumstances in which a complainant is deemed to be persistent or vexatious may include but are not limited to:***

- ***Behaviour that is deemed to be abusive, offensive or threatening***
- ***Frequency of contact is having an adverse effect on the proper running of the school***

***Where a complainant is deemed to be persistent or vexatious, the school may consider taking the following action:***

- ***Issuing a warning and/or barring notice***
- ***Restricting forms of communication to written only***
- ***Restricting communication to particular persons***

***On rare occasions, the school may contact the police to make a report about vexatious and persistent complaints (this action is most likely to be taken where the complainant's behaviour is offensive, abusive or threatening).***

## **5. Governing body – records, review and monitoring of complaints**

The school will record the progress of all complaints, including information about actions taken at all stages, the stage at which the complaint was resolved, and the outcome. The records will also include copies of letters and emails, and notes relating to meetings and phone calls. This material will be treated as confidential and held centrally and will be viewed only by those involved in investigating the complaint or on the review panel.

Records of complaints will be kept securely, only for as long as necessary and in line with data protection law.

The William Hogarth School will review and evaluate all complaints no matter how far they are taken or what the outcome to ensure that similar problems are avoided in the future or to see if they could have been managed any more effectively. All records of any complaints will be kept confidential but may be inspected where appropriate by the Secretary of State or any inspection body.

The governing body will review the complaints procedure every 2 years.

## 6. Staff complaints

Staff who have a concern about a colleague or a volunteer member of staff should refer to our **whistleblowing policy**.

The procedure for dealing with any other staff complaints or employment grievances is set out in the school's **staff discipline, conduct and grievance policy**.

*These policies can be found on the Staff Shared drive on the network. Links are included in the Staff Handbook which is distributed to all staff members on induction and annually in September.*

## 7. Complaint campaigns

For the purposes of this policy, a complaint campaign is defined as a complaint from three or more separate individuals (whether or not connected with the school) which are all based on the same subject. Depending on the subject in question, the school may deviate from the procedure set out in this policy and instead:

- send a template response to all complainants and/or
- publish a single response on the school's website (as applicable).

## 8. Contact details

**School Office (Melanie Dubey / Alana Hosain):**

[admin@hogarth.hounslow.sch.uk](mailto:admin@hogarth.hounslow.sch.uk)

0208 994 4782

**Deputy Headteacher (Katie Rees)**

[deputy@hogarth.hounslow.sch.uk](mailto:deputy@hogarth.hounslow.sch.uk)

0208 994 4782

**Headteacher (Avril Stockley)**

[head@hogarth.hounslow.sch.uk](mailto:head@hogarth.hounslow.sch.uk)

0208 994 4782

**Chair of Governors (Debra Kane)**

Via: [Natalie.Fairclough@hounslow.gov.uk](mailto:Natalie.Fairclough@hounslow.gov.uk) or [admin@hogarth.hounslow.sch.uk](mailto:admin@hogarth.hounslow.sch.uk)

0208 994 4782

**Vice Chair of Governors (Paul Adams)**

Via: [Natalie.Fairclough@hounslow.gov.uk](mailto:Natalie.Fairclough@hounslow.gov.uk) or [admin@hogarth.hounslow.sch.uk](mailto:admin@hogarth.hounslow.sch.uk)

0208 994 4782

**Clerk to the Governing Board (Natalie Fairclough)**

[Natalie.Fairclough@hounslow.gov.uk](mailto:Natalie.Fairclough@hounslow.gov.uk)

0208 994 4782

# COMPLAINT FORM

The person who experienced the problem should normally fill in this form. If you are making a complaint on behalf of someone else please fill in Section B also. Please note that before taking forward the complaint we will need to be satisfied that you have the authority to act on behalf of the person concerned. If you are a pupil the school will help you complete, will explain it to you and will give you a copy of it when it is completed.

## PART A: Your Details

Title: Mr / Mrs / Miss / Ms /Other	Surname:
Forename(s)	Address & Postcode:
Daytime phone number:	
Mobile phone number:	
Email address:	
How would you prefer us to contact you?	

## PART B (If you are making a complaint on behalf of someone else)

Their name in full	
Their address & postcode	
What is your relationship to them?	
Why are you making a complaint on their behalf?	

**PART C: About your complaint**

<b>Name the person you are complaining about</b>	
<b>What do you think they did wrong or did not do?</b>	
<b>Describe how you have been affected</b>	
<b>When did you first become aware of the problem?</b>	
<b>If it is more than 3 months since you first became aware of the problem, please give the reason why you have not complained before</b>	
<b>What do you think should be done to put matters right?</b>	
<b>Have you already put your complaint to a member of staff? If so, please provide brief details about how and when you did so.</b>	
<b>Signature of complainant</b>	<b>Date</b>
<b>Signature if you are making this complaint on behalf of someone else</b>	<b>Date</b>
<b>OFFICIAL SCHOOL USE ONLY:</b>	
<b>Date received</b>	<b>Date acknowledged</b>
<b>Name of person complaint referred to</b>	